

# Identification and Characterization of Primary Immunodeficiencies with Defective Class Switch Recombination and Autoimmunity

Doctoral thesis at the Medical University of Vienna for obtaining the academic degree

# **Doctor of Philosophy**

Submitted by

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#### Declaration

The work of this thesis was accomplished at several academic institutions with the assistance of different collaborators. In the following paragraphs, all contributions are listed in detail.

Chapter 3.1 was published by Salzer, Daschkey et al., 2012. The author of this thesis performed most of the experiments for family A, analyzed and interpreted data, prepared figures and contributed to the manuscript. Sharon Coo, Elisabeth Förster-Waldl, Kirsten Bienemann, Markus G.Seidel, and Arndt Borkhardt cared for the patients, had clinical, therapeutic, and/or responsibilities. Elisangela Santos-Valente diagnostic and Martina Schwendinger helped with exome sequencing and bioinformatic data analyses of family A. Svenja Daschkey, Kirsten Bienemann and Michael Gombert performed exome sequencing and bioinformatic analyses in families B & C. Sharon Choo and Markus G. Seidel arranged and the author of the thesis together with Elisangela Santos-Valente, Sebastian Ginzel, Oskar A. Haas, Gerhard Fritsch, Winfried F. Pickl, Svenja Daschkey and Kirsten Bienemann performed immunophenotypical, functional, and immunogenetic analyses of the patients. Kaan Boztug took overall responsibility for the research performed in this study and wrote substantial parts of the manuscript.

Chapter 3.2 was published by Salzer, Santos-Valente et al., 2013. The author of the thesis and Elisangela Santos-Valente performed most of the experiments, analyzed data and wrote the manuscript together with Kaan Boztug. Stefanie Klaver and Sol A Ban contributed to Western Blot and qPCR analyses. Winfried F. Pickl performed routine immune phenotypic characterization of the patient. Wolfgang Emminger, Andreas Heitger, Klaus Arbeiter, Franz Eitelberger, Markus G. Seidel, Wolfgang Holter, Arnold Pollak and Elisabeth Förster-Waldl provided clinical care and critically reviewed clinical patient data. Leonhard Müllauer and Renate Kain performed histopathological analyses. Kaan Boztug took overall responsibility for the research performed in this study and wrote substantial parts of the manuscript. Chapter 3.3 was published by Salzer et al., 2014. The author of the thesis performed all experimental work except for B cell class switch and activation assays which were performed by Heiko Sic, Hermann Eibel and Marta Rizzi in Freiburg and T cell proliferation assays which were performed by Winfried F. Pickl. Aydan Kansu, Aydan Ikincioğullari, Esin Figen Dogu, Zarife Kuloğlu, Arzu Meltem Demir and Arzu Ensari provided clinical care of the patient and performed routine clinical interventions. Peter Májek performed computational modeling and *in silico* prediction algorithms. Sol A Ban performed TCR Vb spectratyping of the patient and Nina Prengemann and Elisangela Santos-Valente assisted in experimental procedures and performed SNP chip based homozygosity mapping. Kaan Boztug conceived this study, provided laboratory resources and together with the author of the thesis planned, designed and interpreted experiments. The author of the thesis and Kaan Boztug wrote the first draft and the revised version of the manuscript.

All chapters of the thesis were written by the author. Kaan Boztug and Ivan Bilic provided critical input to writing of the thesis.

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#### Abstract

Primary immunodeficiencies (PIDs) are a complex and heterogeneous group of diseases. In most cases these patients are attributed to a certain PID according to their clinical presentation as a genetic diagnosis can only be established in approximately 40% of the cases. The aim of this thesis was to identify novel monogenic disorders leading to primary immunodeficiencies using state of the art technologies such as exome sequencing and homozygosity mapping. We focused on a cohort of patients with putative autosomal recessive, monogenic forms of PID, presenting with autoimmunity, lymphoproliferation and defective class-switch recombination. In this group of patients we were able to identify 3 novel primary immunodeficiencies caused by biallelic loss of function mutations in *CD27*, *PRKCD* and *IL21*, respectively, leading to 3 publications in peer-reviewed journals.

For *CD27* deficiency we described the largest to-date published cohort of patients with this disease. As all patients shared the same causative missense mutation affecting CD27 (p. Cys53Tyr), but displayed diverse clinical presentations, we were able to provide a clinical overview of the disease spectrum. Moreover, we could show that the amount of invariant natural killer cells inversely correlated with Epstein Barr driven lymphoproliferative disease in these patients.

In another patient we were able to identify a splice site mutation in *PRKCD* encoding protein kinase C delta, which led to complete absence of the protein and resulted in a primary immunodeficiency with severe autoimmunity. The patient displayed glomerulonephritis, was positive for various autoantibodies and was previously diagnosed with systemic lupus erythematosus. We could show that in accordance with a previously published role of *PRKCD* in literature, the patient exhibited increased mRNA levels of *IL6* after stimulation with Phorbol myristate acetate and showed decreased phosphorylation of the PKC $\delta$  target myristoylated alanine-rich C-kinase substrate (MARCKS). The findings from this study enabled to propose treatment with Tocilizumab, a humanized anti-IL-6 receptor monoclonal antibody currently in use for the treatment of rheumatoid arthritis.

In a consanguineous family with a history of deaths due to inflammatory bowel disease we identified a missense mutation in *IL21* (p.Leu49Pro) in a boy. With the help of *in silico* simulations we demonstrated that the mutated residue is highly conserved and that any change at amino acid position Leu49 would reduce the stability of the native state. Building on this finding we utilized recombinant wild type and mutated IL-21 protein to demonstrate a loss of function phenotype of the mutant, displaying strongly reduced STAT3 phosphorylation upon *in vitro* cell stimulation. Moreover, as recombinant IL-21 is in clinical trials, we were able to propose an alternative potential curative treatment option for this patient.

Taken together the discovery of these three novel PIDs contributed to the understanding of the multifaceted regulatory mechanisms of the immune system and highlighted essential players in these complex signaling networks.

#### Deutschsprachige Zusammenfassung

Primäre Immundefekte (PID) sind eine komplexe und heterogene Gruppe von Erkrankungen. In den meisten Fällen wird die Diagnose klinisch gestellt. Eine genetische Ursache kann nur in etwa 40% der Fälle gefunden werden. Ziel dieser Arbeit war es, neue monogenetische primäre Immundefekte zu entdecken und zu charakterisieren. Wir konzentrierten uns auf eine Kohorte von Patienten mit vermeintlich autosomal-rezessiven, monogenen Formen von PID mit Autoimmunität, Lymphoproliferation und defektem B Zell Klassenwechsel. In dieser Patientengruppe gelang es uns drei neue primäre Immundefekte identifizieren.

Für CD27-Defizienz beschrieben wir die bisher größte veröffentlichte Kohorte. Da wir bei allen Patienten die gleiche Mutation in *CD27* (p. Cys53Tyr) fanden, konnten wir die vielfältigen klinischen Präsentationen, sowie eine klinische Übersicht des Krankheitsspektrums publizieren. Darüber hinaus konnten wir zeigen, dass die Anzahl invarianter natürlicher Killerzellen invers mit Epstein-Barr-assoziierter lymphoproliferativer Erkrankung korreliert.

Bei einem anderen Patienten entdeckten wir eine "splice-site" Mutation in *PRKCD*, welche zur kompletten Abwesenheit des Proteins Proteinkinase C Delta führte und einen primären Immundefekt mit signifikanter Autoimmunität zur Folge hatte. Klinisch zeigte der Patient Glomerulonephritis, war positiv für verschiedene Autoantikörper und wurde mit systemischem Lupus erythematodes diagnostiziert. Wir konnten zeigen, dass der Patient erhöhte *IL6* mRNA-Level nach Stimulation mit Phorbolmyristatacetat hatte. Aufgrund dieser Ergebnisse ist es uns möglich, die Behandlung mit Tocilizumab, einem humanisierten anti-IL-6-Rezeptor Antikörper, vorzuschlagen.

Bei einem weiteren Patienten mit sehr früh einsetzender chronisch entzündlicher Darmerkrankung identifizierten wir eine missense-Mutation in Interleukin-21 (*IL21*) (p.Leu49Pro). Durch *in-silico*-Simulationen konnten wir zeigen, dass die Mutation an einer evolutionär stark konservierten Position des Proteins ist. Mithilfe rekombinanter Produktion von wildtyp und mutiertem IL-21-Protein konnten wir den Verlust der Funktion des mutierten Proteins am Beispiel defekter STAT3-Phosphorylierung in *in vitro* Zellstimulationsexperimenten zeigen. Da rekombinantes IL-21 derzeit in klinischen Studien

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getestet wird, ist es uns gelungen, eine alternative Behandlung für dieser Patienten vorschlagen.

Die Entdeckung dieser Krankheitsbilder leistete einen Beitrag zum Verständnis komplexer Regulationsmechanismen des Immunsystems.

#### Publications arising from this thesis

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## Abbreviations

ADA	adenosine deaminase
AID	activation-induced deaminase
AIRE	Auto-immune regulator
ALPS	Autoimmune lymphoproliferative syndrome
AP3B1	Adaptor-related protein complex 3, beta 1 subunit
APECED	Autoimmune polyendocrine syndrome type 1
APRIL	a proliferation inducing ligand
ATM	Ataxia-telangiectasia mutant
BAFF	B cell activating factor of the TNF family
BCL	B cell lymphoma
BCMA	B cell maturation antigen
BCR	B cell receptor
BLIMP	B lymphocyte induced maturation protein
BLNK	scaffolding protein B-cell linker
BM	Bone marrow
BTK	Bruton's tyrosine kinase
CCR	CC-chemokine receptor
CD	cluster of differentiation
CD40L	CD40 ligand
CGD	Chronic granulomatous disease
CID	Combined immunodeficiency
CVID	Common variable immunodeficiency
CXCL	CXC-motif chemokine receptor ligand
CXCR	CXC-motif chemokine receptor
DCLRE1C	DNA cross-link repair 1C
DN	Double negative
DNA	Deoxyribonucleic acid
DOCK	Dedicator of cytokinesis
DP	Double positive
DZ	Dark zone
EBI2	Epstein-Barr virus induced gene 2
EBV	Epstein-Barr virus
FasL	Fas Ligand
FOXP3	Forkhead box P3
GC	Germinal center
HLA	Human leukocyte antigen
HLH	Hemophagocytic lymphohistiocytosis
aHSCT	allogeneic hematopoietic stem cell transplantation
IFN	Interferon
lg	Immunoglobulin
IL	Interleukin
iNKT	invariant Natural killer cells
IPEX	Immune dysregulation, polyendocrinopathy, enteropathy and X-linked syndrome

IRF	Interferon regulatory factor
ITK	Interleukin-2 inducible T cell kinase
ITP	Immune thrombocytopenia
JNK	c-Jun N-terminal kinase
LIG	Ligase
LPD	Lymphoproliferative disease
LYST	Lysosomal trafficking regulator
LZ	Light zone
MHC	major histocompatibility complex
MRE11	Meiotic recombination 11-homolog
NBS1	Nijmegen breakage syndrome
NF-κB	nuclear factor kappa-light-chain-enhancer of activated B cells
NHEJ	non-homologous end joining enzymes
NKT	natural killer T cells
PAD	primary antibody deficiency
PI3	Phosphoinoside 3 kinase
PID	Primary immunodeficiency / primäre Immundefekte
PKC	Protein kinase C
PLC	Phospholipase C
PNP	purine nucleoside phosphorylase
PRF1	Perforine 1
RAB27A	Ras-related protein Rab27-A
RAG	Recombination activating genes
RIC	Reduced intensity conditioning
SCID	Severe combined immunodeficiency
SH2D1A	SH2 domain containing 1A
SIN	self-inactivating
SLE	Systemic lupus erythematosus
SNV	Single nucleotide variant
SPENCDI	Spondyloenchondrodysplasia with immune dysregulation
STAT	Signal transducer and activator of transcription
STX11	Syntaxin 11
STXBP2	Syntaxin binding protein 2
TACI	transmembrane activator and CAML interactor
TCR	T cell receptor
TdT	Terminal deoxynucleotidyl transferase
TEC	Thymic epithelial cells
TFH	T follicular helper cells
Th	T helper cells
TNF	Tumor necrosis factor
TNFRSF6	TNF receptor superfamily 6, Fas
Tregs	regulatory T cells
UNC13D	Unc-13 homolog D
UNG	Uracil-N-glycosylase
WAS	Wiskott Aldrich syndrome
XIAP	X-linked inhibitor of apoptosis protein

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#### 1. Introduction

#### **1.1. Human Genetics**

The understanding of the basic laws of inheritance is essential to study genetic disorders in man. Single gene disorders are rare and often called Mendelian diseases as Gregor Mendel first observed gene segregation patterns by studying selected traits in garden pea *Pisum sativum* (Mendel, 1865). From his work he deduced that basic units of heredity - genes come in pairs, where always one is inherited from each parent. Moreover, he was able to distinguish dominant or recessive traits, by recognizing mathematical patterns in the mode of inheritance.

Mendel's laws of inheritance are stated as follows (adapted from (Mendel, 1865):

1) The Law of Segregation: Each inherited trait is defined by a gene pair. Each gene within a parental pair is called allele. Alleles randomly segregate to the sex cells – gametes so that each gamete contains only one allele of the parental pair. Therefore, during fertilization the resulting offspring inherits one allele from each parent.

2) The Law of Independent Assortment: Genes for different traits are sorted independently from one another so that the inheritance of one trait is not dependent on the inheritance of others.

3) The Law of Dominance: An organism with alternate forms of a gene will express the form that is dominant.

In humans, all genes, except for the X and Y chromosomal ones in males, are present in 2 copies in the cell. Depending on the location of a gene and whether one or two intact copies are needed, a disease phenotype will manifest if a person carries one or two "disease"- alleles. Monogenic disorders can be inherited in four basic modes: autosomal-dominant, autosomal-recessive, X-linked and mitochondrial. Traditionally, monogenic disorders were identified using linkage analyses and candidate gene sequencing. Using this technique loci underlying approximately one-third of Mendelian disorders have been identified (McKusick, 2007). However, in very small, uninformative families, it used to be extremely difficult to identify rare Mendelian diseases due to several reasons such as: incomplete penetrance, locus heterogeneity as well as substantially reduced reproductive fitness (Antonarakis & Beckmann, 2006).

#### 1.2. Exome Sequencing

Before the development of next-generation sequencing technologies, linkage analyses, candidate gene sequencing and positional cloning were the strategies applied to identify disease-causing variants. These strategies mostly focused only on coding areas of the genome while neglecting regulatory regions. However, overall, they have proven to be highly successful in gene discovery. With the development of next-generation DNA sequencing technologies, cost of DNA sequencing decreased dramatically and enabled fast detection of almost all coding variants within a person's genome (Bamshad et al, 2011; Mamanova et al, 2010). Although exome sequencing does not systematically assess non-coding alleles and regulatory regions, it can be applied for the identification of Mendelian disorders, as most diseasecausing variants identified until now disrupt the amino acid sequence of proteins (Bamshad et al, 2011). Today, the major challenge of "gene hunting" using exome sequencing is to distinguish disease-related alleles from the background of non-pathogenic polymorphisms and sequencing errors.

#### 1.2.1. Strategies to identify rare disease causing variants

Exome sequencing of an individual typically yields approximately 20,000 single nucleotide variants (SNVs) out of which more than 95% are known polymorphisms. There are different strategies to identify the disease-causing variant depending on the mode of inheritance, pedigree structure, locus heterogeneity for an investigated trait and inheritance vs. *de novo* emergence of a phenotype (Bamshad et al, 2011). In the past years, since the development of next generation sequencing technologies, causative variants for many Mendelian disorders have been identified. In most of the cases the novel disease-causing variant was identified by filtering against publically available databases, such as dbSNP and the 1000 Genomes project for

variants present with a minor allele frequency below 0.01% (Bamshad et al, 2011). This strategy is very powerful especially for rare Mendelian disorders affecting few individuals within a family. In addition, detected SNVs can be further categorized using prediction algorithms, which calculate their potential effect on protein function. Dependent on the mode of inheritance different numbers of cases need to be sequenced in order to identify the disease causing mutation. For recessive disorders in consanguineous families, sequencing of one affected person with the smallest regions of homozygosity should initially be adequate. For non-consanguineous families sequencing of both parents and the affected child is a potent approach to identify potentially disease-causing variants. In any case, optimization of the filtering process tailored to the approach is crucial for the identification of these variants.

#### 1.3. Lymphocyte development

During embryogenesis hematopoietic precursor cells populate the bone marrow (BM). These cells originate from the fetal liver and consist of cells stemming form the aorta-gonad-mesonephros, a part of the mesoderm (Muller et al, 1994) (Pieper et al, 2013). It is important to consider that hematopoietic stem cells (HCS) do not commit all at once into a certain lineage but as development progresses undergo a certain narrowing towards a specific lineage (Rothenberg, 2000). Long-term reconstituting hematopoietic stem cells (HSC) differentiate into multipotent progenitor cells branching into the lymphoid or the erythro-myeloid lineage (Akashi et al, 2000; Kondo et al, 1997). Due to the expression of c-KIT (Waskow et al, 2002) and FLT3 (Sitnicka et al, 2002) HCSs further mature to common lymphoid progenitors (CLP), thereby loosing long-term self-renewal capacity (Busslinger, 2004). CLPs are a heterogeneous cell population and can give rise to T, B, natural killer (NK) and dendritic cells, depending on the environmental cues. IL7, IL7R and common y-chain expression is essential for further differentiation of CLPs (Carvalho et al, 2001; Miller et al, 2002).

The bone marrow provides the appropriate support for the development of B, NK and dendritic cells, while T cells can only develop if a progenitor cell enters a specialized T cell development organ – the thymus.

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#### 1.3.1. <u>B cell development</u>

Multiple transcription factors regulate the generation of B lymphocytes from hematopoietic stem cells. The pro-B cell stage represents the earliest stage of committed B cell development (Hardy et al, 1991; Li et al, 1996). Upon induction of CD19 expression, rearrangement of D and J segments of the heavy chain locus is completed by recombination activating genes (RAG) 1 and 2 (Hardy et al, 1991; Li et al, 1996). This stage is followed by a second rearrangement where an upstream V segment is connected to the DJ region (late pro-B cells) (Busslinger, 2004). During this step terminal deoxynucleotidyl transferase (TdT) adds additional nucleotides between the junctions of the rearranged gene segments, which enhances the diversity of the B cell antigen receptor repertoire (Janeway, 2008).

The productively rearranged heavy chain pairs with invariant surrogate light chains (I5 and VpreB) are induced by the transcription factors E2A and EBF. This leads to the formation of a pre-B cell receptor (pre-BCR) on the cell surface (Busslinger, 2004). The productive rearrangement and surface expression of the pre-BCR prevents further heavy chain rearrangement by allelic exclusion, thereby preventing expression of two different BCRs on one cell and leads to proliferation of pre-B cells. Signaling through the pre-BCR requires BLNK and Bruton's tyrosine kinase (BTK) (Janeway, 2008).

After several division rounds large pre-B cells become resting small pre-B cells which re-express *RAG1* and *RAG2* to initiate rearrangement of the immunoglobulin light chain (Meffre et al, 2000). This process is initiated on one allele with joining of V and J segments. Light chains also display isotypic exclusion, so that either the k or I light chain is expressed by one single cell. Productive light chain rearrangement results in the expression of immunoglobulin M (IgM) on the surface of the immature B cell (Meffre et al, 2000). The newly formed B cell receptor complex consisting of IgM and Iga and Ig $\beta$  is first tested for tolerance to self-antigens in the bone marrow. Cells that react with self-antigens can either undergo apoptosis, clonal deletion, receptor editing, immunological ignorance or anergy. This process is termed central tolerance. Immature B cells with weak reactions to self-antigen are allowed to leave the bone marrow via sinusoids to secondary lymphoid organs to complete development (Janeway, 2008).

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#### 1.3.2. <u>T cell development</u>

During embryonic development, the thymus develops from endoderm-derived structures, the third pharyngeal pouch and the third branchial cleft, respectively. It is located above the heart behind the sternum (Hollander et al, 2006). The thymus is populated with hematopoietic cells, thymocytes, intrathymic dendritic cells and macrophages, respectively. It consists of an outer cortex and an inner medulla.

Progenitors enter the thymus at the cortico-medullary junction and move towards the outer cortex (Anderson et al, 1996). Notch ligands expressed on intrathymic epithelial cells guide progenitors to commit them through differentiation (Janeway, 2008; Radtke et al, 1999).

T cell differentiation is defined by surface expression of CD4 and CD8. Initially, progenitors lack most of the surface molecules characteristic for T cells, also CD4 and CD8 and have non-rearranged T cell receptor genes, but express CD3. In the DN1 stage, lymphoid progenitors migrate to the thymus and are predestined to give rise to T cells. However, in this stage they are still not fully committed and are termed "intermediates" (Rothenberg, 2000). It has been shown that these cells can also develop into NK cells as well as dendritic cells (Res et al, 1996). The next commitment towards T lineage occurs with the abrupt induction of members of the Ets and bHLH class A family of transcription factors. In this stage progenitors express the surface markers CD25, CD24 and c-Kit, respectively. The development into NK and DC is strongly attenuated at this stage although still possible. (Rothenberg et al, 1999). There is evidence that TCR $\alpha\beta$  and TCR $\gamma\delta$  precursors become distinct in this stage before TCR rearrangement (Rothenberg, 2000).

The rearrangement of the T cell receptor  $\beta$  chain starts during the DN2 phase with Db to J $\beta$  rearrangement. At this stage T cells start expressing CD25 (Rothenberg, 2002). Subsequently expression of c-Kit and CD44 is reduced, which marks the entry into the DN3 phase. B-selection occurs in this stage as a rearranged  $\beta$  chain is expressed on the surface together with a surrogate  $\alpha$ -chain, which enables the assembly of a pre-T cell receptor (pre-TCR), similar to the pre-BCR. With the expression of the pre-TCR, further rearrangement of the  $\beta$ -locus is stopped and, through an intermediate DN4 and immature single positive stage, expression of both CD4 and CD8 on the cell surface is

induced, marking the entry in the double positive (DP) phase (Rothenberg, 2002). Large DP cells proliferate and then become small-DP cells, where they only express the TCR at low levels. During this phase positive selection takes place, where the TCR is tested for the ability to bind self-antigens at low level. Positively selected cells, mature and start expressing high levels of the TCR and loose the expression of either the CD4 or the CD8 molecule (Rothenberg, 2002).

#### 1.4. Secondary lymphoid organs and germinal centers

Secondary lymphoid organs contain follicles which, under pathogen free conditions are mainly populated by naive B cells (Victora & Nussenzweig, 2012). Approximately one week after antigen exposure, germinal centers (GCs) develop in these areas and form secondary follicles. During this stage, naive B cells form now the outer border of a GC, the so-called B cell mantle (MacLennan, 1994).

#### 1.4.1. Structure of a germinal center

Germinal centers were first described by Flemming in 1884 as micro anatomical regions of secondary lymphoid organs, that contained dividing cells (Nieuwenhuis & Opstelten, 1984). GCs form а specialized microenvironment within secondary lymphoid organs where B cells undergo proliferation, somatic hypermutation and antigen-affinity driven selection processes (Shlomchik & Weisel, 2012). During this process, BCR affinity plays an essential role in the differentiation step of activated B cells into memory B cells as well as long-lived plasma cells. Anatomically GCs can be separated into dark (DZ) and light zone (LZ). Whereas the dark zone consists mainly of B cells with a high nucleus-to-cytoplasm ratio, LZ B cells are embedded in a network of follicular dendritic cells and T cells (Nieuwenhuis & Opstelten, 1984). These regions are surrounded by a mantle and marginal zone. Phenotypically the cells of the light and dark zone can be distinguished by flow cytometry using markers against the CXC motif chemokine receptor 4 (CXCR4), CD83 and CD86. LZ B cells express CXCR4<sup>lo</sup>CD38<sup>hi</sup>CD86<sup>hi</sup>, whereas DZ B cells are CXCR4<sup>hi</sup>CD38<sup>lo</sup>CD86<sup>lo</sup> (Victora et al, 2010). Tingible

body macrophages, which phagocyte dying B cells, are found in almost all GC compartments (Victora & Nussenzweig, 2012).

#### 1.4.2. <u>B cell fate within the germinal center</u>

The dark zone consists of antigen-activated B cells, differentiating into centroblasts while undergoing consecutive rounds of proliferation also termed clonal expansion. During that time somatic hypermutation takes place, enabling higher specificity of the BCR for a certain antigen. Subsequently those centroblasts with best binding to the antigen become centrocytes and migrate further to the light zone of the GC (Klein & Dalla-Favera, 2008). Once B cells engage an antigen via the BCR with sufficient affinity, they up regulate CC-chemokine receptor (CCR)-7 and move to the outer zone of the germinal center, the T cell zone. There activated B cells engage and are stimulated by CXC-chemokine receptor (CXCR)-5 expressing T helper (Th) cells or prefollicular T helper cells to proliferate further (Fazilleau et al, 2009). Following T cell help, B cells initiate formation of a follicle leading to a GC or initiate extrafollicular plasma cell responses. Until now it is not completely understood which factors determine this differentiation decision (Vinuesa et al, 2009).

During extrafollicular plasma cell responses, B cells up regulate B lymphocyte induced maturation protein (BLIMP)1, maintain expression of the Epstein-Barr virus induced gene (*EBI2*, *GPR183*) and migrate to junction zones or move to lymph node medullary cords. Here they form clusters of proliferating plasmablasts and by this an extrafollicular response. Some cells undergo isotype switching, but generally these cells are of low affinity and rather short lived. Dependent on the stimulus, extrafollicular responses can last for several weeks and sometimes give rise to somatically mutated autoantibodies (see below) (William et al, 2002). Under normal circumstances, these cells die of an apoptotic death within the secondary lymphoid tissue (Tarlinton et al, 2008).

On the other hand, B cells that up regulate B cell lymphoma (BCL) 6 and reduce EBI2 expression upon interferon-regulatory factor (IRF)-8 expression, differentiate into GC B cells and are targeted to follicles in a CXCR5-dependent manner (Vinuesa et al, 2009). Therefore, most of the B cells in the germinal center display an activated phenotype characterized by the increase

in size, polarized morphology and rapid division (Victora & Nussenzweig, 2012). They express high levels of Fas and n-glycolylneuraminic acid as well as high levels of CD38, but loose surface IgD expression. As described above, GC B cells up regulate BCL-6 which is critical for the formation of GCs as mice lacking Bcl-6 cannot form germinal centers and lack high-affinity antibodies (Dent et al, 1997; Ye et al, 1997). BCL-6 also silences the anti-apoptotic molecule BCL-2 maintaining a pro-apoptotic state, which is fundamental in preventing autoimmunity due to defective somatic hypermutation. It also represses p53 and ATR in order to increase the GC B cell tolerance to DNA damage due to AID activity and rapid proliferation (Victora & Nussenzweig, 2012). In addition, BCL-2 reduces expression of Blimp-1, a master regulator of plasma cell differentiation from the GC B cells. It also down-regulates BCR and CD40 signaling, thus guiding B cells towards response to selective signals (Victora & Nussenzweig, 2012).

Since germinal centers are anatomically divided into a dark and a light zone, people sought to decipher whether this also corresponds to functional polarization (Allen et al, 2007). Although light and dark zone cells were similar in complexity and size, gene expression differed in important points. These differences enable to distinguish CXCR4<sup>lo</sup>CD83<sup>hi</sup>CD86<sup>hi</sup> light and CXCR4<sup>hi</sup>CD83<sup>lo</sup>CD86<sup>lo</sup> dark zone cells by flow cytometry.

Marginal zone B cells represent the first line of defense and are capable of mounting a T cell independent response. It has been shown that dedicator of cytokinesis (DOCK) 8 is critical for their development as patients with defective DOCK8 lack marginal zone B cells and do not produce protective antibodies after vaccination (Engelhardt et al, 2009; Randall et al, 2009; Zhang et al, 2009).

Classically cell division is thought to happen in the dark zone whereas cell selection is mainly restricted to the light zone. In line with this hypothesis, it has been shown, that light zone B cells seem to be in an activated state mirrored by the expression of CD69 and CD40, BCR stimulation, as well as nuclear factor (NF)-KB and c-Myc engagement (Victora et al, 2010), whereas mitotic cells can be detected to a higher extent in the dark zone (Hanna, 1964). However, the precise mechanisms guiding cells into one or the other

zone remain to be elucidated. This process is illustrated and summarized in Figure 1 taken from Klein & Dalla-Favera, 2008.



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#### 1.4.3. Follicular dendritic cells

Follicular dendritic cells (FDCs) mainly reside in the light zone of the germinal center. Their function is to attract germinal center B cells and serve as antigen pool during the germinal center reaction, as they can retain intact antigen on their surface for longer periods of time (Mandel et al, 1981). This trapping of immune complexes on the surface in the form of icosomes mainly relies on complement receptors 1 and 2 (Barrington et al, 2002; Szakal et al, 1985). It has also been shown that follicular dendritic cells secrete CXCR5 and CXCL13/BLC, important chemo attractants for B cells to guide them to the germinal centers (Cyster et al, 2000). In addition, follicular dendritic cells express ICAM-1 and VCAM-1, which are essential for germinal center maintenance and dark and light zone polarization (Haynes et al, 2007). Moreover, the secretion of interleukin (IL)-6 and B cell activating factor of the tumor necrosis factor (TNF) family (BAFF), may be important for a physiologic

germinal center reaction (Kopf et al, 1998; Nishikawa et al, 2006; Wu et al, 2009).

#### 1.4.4. <u>T<sub>FH</sub> cells within the germinal center</u>

Although there are only a small number of T cells within the germinal center, they are critical for affinity maturation of B cells and maintenance of the germinal center. It has been shown that patients with loss-of-function mutations affecting CD40 or CD40L fail to develop germinal centers and exhibit increased levels of non-class switched cells (Allen et al, 1993; Ferrari et al, 2001). Especially the population of T-follicular helper (T<sub>FH</sub>) cells plays a crucial role within the germinal center. T<sub>FH</sub> are characterized by the expression of CD4 as well as the B cell zone homing factor CXCR5. On the other hand they down regulate the T cell zone homing factors CCR7 and IL7R. Accordingly, they can be found in B cell follicles and germinal centers, where they interact with antigen-specific B cells in order to facilitate B cell differentiation (Tangye et al, 2013). Upon interaction of naïve CD4 T cells with antigen presenting dendritic cells in the T cell zone, these primed cells up regulate BCL-6 as well as CXCR5 to become early T<sub>FH</sub> cells. Subsequently, they migrate to the B cell zone where they encounter signal transducer and activator of transcription (STAT) 3 activating cytokines such as IL6, IL12, IL21 and IL27, secreted among others by follicular dendritic cells. This results in up regulation of SAP, MAF, BATF and IRF4, major regulators of the T<sub>FH</sub> lineage (Tangye et al, 2013). In addition, T and B cell interactions including CD40-CD40L, ICOS-ICOSL and CD28-CD86 are crucial in this developmental phase. It has been shown that not only naive CD4 T cells but also NKT as well as  $\gamma \delta T$  cells can develop into T<sub>FH</sub> cells in a similar manner (Tangye et al, 2013). Whereas a lot of effort was taken to understand the role of  $T_{FH}$  cells in the germinal center, less attention has been paid to CD8 or TH17 cells until now (Victora et al, 2010).

#### 1.5. Inherited disorders of the immune system

Primary immunodeficiencies (PID) are considered a heterogeneous group of inherited diseases that can affect either innate or adaptive immune system separately, as well as their intricate interplay (Al-Herz et al, 2014). Importantly, PIDs have to be distinguished from secondary or acquired immunodeficiencies triggered by pathogens, malignant diseases, immune modulatory treatments or environmental factors (Duraisingham et al, 2014).

Until 3 years ago approximately 200 PID-causing genes have been identified (AI-Herz et al, 2011). In recent years the number increased rapidly. To date, mutations in more than 245 genes have been identified to cause a PID in men (AI-Herz et al, 2014). Nevertheless, even with the identification of a gene defect, the precise pathomechanism of the disease often remains elusive at first but represents a unique opportunity to understand specific aspects of the immune system (Fischer, 2007).

In general, PIDs are under-diagnosed as the primary manifestation can be highly variable but most of the time involves increased susceptibility to infections which can only be evaluated in retrospect (McCusker & Warrington, 2011). However, if unrecognized, the disease can be fatal due to high risk of infections or due to the occurrence of autoimmune phenomena or malignancy. PIDs are classified according to the primarily affected component of the immune system (Geha, 2007). Defects of the innate immune system include phagocyte disorders, defects in Toll-like receptor mediated signaling and complement disorders. Antibody deficiency syndromes and combined immunodeficiencies (CID) are considered as defects of the adaptive immune system. A unifying clinical presentation is increased susceptibility to recurrent infections and severe infections, or sometimes both, with characteristic susceptibility to certain pathogens, depending on the nature of the immune defect. Moreover, certain forms of PIDs might present with immune dysregulation or even a more complex phenotype where immunodeficiency represents only one of multiple components of the patient's disease (Notarangelo, 2010). The adaptive immune system, comprising the lymphoid compartment, has developed most recently in evolutionary history. Although acting slower than the innate immune response, this system has the ability not only to develop and tailor the highly specific immune answer to foreign, but also to memorize, leading to fast eradication of antigens upon a second encounter. Therefore, in order to understand the development of primary immunodeficiencies, understanding lymphocyte development is crucial. On

the other hand, also the discovery of PID contributed in an essential manner to the understanding of the immune system as we see it today.

### 1.5.1. (Severe) Combined Immunodeficiencies

Severe combined immunodeficiencies (SCID) represent the most severe forms of T cell immunodeficiencies with an intrinsic impairment of T cell development, sometimes associated with a severe impairment of other hematopoietic lineages.

The disease was first described by Glanzmann and colleagues in 1950 (Glanzmann & Riniker, 1950). SCID results in dramatic susceptibility to pathogens, including especially infections with opportunistic bacteria, viruses and fungi. SCID patients are classified according to the absence or presence of B and NK cells in addition to the T cell defects. As most of the patients succumb to infections within the first year of life, the necessity for a curative treatment is evident. In 1968, the first successful allogeneic bone marrow transplantation was performed in a child with SCID due to a defect in the common  $\gamma$ -chain receptor (Gatti et al, 1968). To date, globally four different molecular mechanisms have been shown to lead to SCID in humans (Fischer et al, 2005). One group includes mutations affecting the common  $\gamma$ -chain of several cytokine receptors. This disease is X-linked and results in absence of mature T and NK cells but presence of CD19+ B cells.

Another mechanism involves increased apoptosis of lymphoid precursors due to defective purine metabolism caused by deficiency of adenosine deaminase (*ADA*), first described in 1972 (Giblett et al, 1972). Later is has been discovered that lymphoid precursors are especially sensitive to the accumulation of deoxyadenosine triphosphate. In addition, it could be shown that the severity of the SCID phenotype corresponds to the residual activity of *ADA* (Hershfield, 2003).

The third group of mutations responsible for a SCID phenotype in humans are defects in pre-TCR/TCR signaling (Liston et al, 2008). For example, somatic rearrangement of both TCR and BCR is essential for lymphocyte differentiation and function. Accordingly, complete loss of function mutations in the recombination-activating genes (*RAG*) *1* and *RAG2* result in SCID with

presence of the NK lineage whereas hypomorphic mutations may result in Omenn syndrome (Schwarz et al, 1996; Villa et al, 1998). Taken together, these groups correspond to the majority of SCID patients. Hypomorphic mutations in SCID genes often result in oligoclonal and poorly functioning T cells. Often, the disease is associated with inflammatory and autoimmune manifestations, which can mask the immune defect at first (Felgentreff et al, 2011; van der Burg & Gennery, 2011).

Over the last years an increasing number of this type of diseases have been identified which seem to affect late stages of T cell development. In addition to significant immune dysregulation and severe impairment in pathogen defense, they can also be associated with an increased risk of malignancy. Often, CIDs are furthermore associated with additional B cell defects, either intrinsic or caused by defective T-helper cell activity. Mechanisms of T cell deficiencies are summarized in figure 2 taken from Liston et al, 2008.



Figure 2 Mechanisms of T cell deficiencies (taken from Liston et al, 2008).

#### 1.5.2. Primary antibody deficiencies

Primary antibody deficiencies (PAD) can result from B cell intrinsic defects but also as a secondary effect due to functional impairments in other immune cells such as T or innate immune cells. In general, most of the defective genes highlighted processes involved in B cell development and antibody production (Durandy et al, 2013). However, especially PADs often lack genotype phenotype correlation. This is mirrored by the fact that different mutations in the same gene can lead to distinct phenotypes even with different modes of inheritance (Durandy et al, 2013). Moreover, although most PIDs follow a monogenic pattern of inheritance, some PADs seem to have a more complex genetic basis, also with variable penetrance as exemplified for mutations in the *TNFRS13B* gene (Salzer et al, 2009). Possibly due to this complexity in inheritance and variability in phenotypes the etiology of several PADs is still not known.

The most common PAD is caused by mutations in the Bruton's tyrosine kinase (*BTK*) gene (Vetrie et al, 1993). It was first described in 1952 and is the most common reason for X-linked agammaglobulinemia. Intrinsic B cell defects marked for example by defective expression of the pre-BCR result in absence of mature B cells, concomitant with absence of all immunoglobulin isotypes. This phenotype has been described for mutations in the *I5* chain (Minegishi et al, 1998), the  $\mu$ -chain (Yel et al, 1996), the scaffolding protein B cell linker (*BLNK*) (Minegishi et al, 1999b), the regulatory subunit 1 (p85a) of Phosphoinositide-3-Kinase (*PIK3R1*) (Conley et al, 2012) as well as the *pre-BCR* and *BCR co-receptors Iga* (Minegishi et al, 1999a) and *Igb* (Ferrari et al, 2007).

Another group of antibody deficiencies is represented by defects in B cell survival and homeostasis which is critically regulated by the two cytokines B cell activating factor (BAFF) and a proliferation inducing ligand (APRIL) (Durandy et al, 2013). Whereas BAFF binds to the BAFF-receptor (BAFFR), the trans-membrane activator and CAML interactor (TACI) and the B cell maturation antigen (BCMA), APRIL only bind to TACI and BCMA. Although BAFFR and TACI mutations have been reported to cause PADs in humans many of the described variants can also be found in healthy individuals.

Defects in B cell activation may result in pan-hypogammaglobulinemia with normal numbers of circulating B cells (Durandy et al, 2013). One of the major pathways in B cell activation is BCR-induced Ca<sup>++</sup> signaling which is regulated by B cell surface molecules that modulate intensity and threshold of signal transduction (Durandy et al, 2013). An important regulator of signal transduction is the CD19 complex consisting of CD19, CD21, CD81 and CD225. In line with this observation, mutations in CD19 (van Zelm et al, 2006), CD21 (Thiel et al, 2012) and CD81 (van Zelm et al, 2010) have been described to cause hypogammaglobulinemia due to defective B cell activation. Interestingly, although defects in CD20 do not affect BCR mediated

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calcium signaling, the defect still results in partial hypogammaglobulinemia (Kuijpers et al, 2010).

The immunoglobulin class switch recombination pathway is initiated by interaction of CD40 on B cells and CD40-ligand on activated T<sub>FH</sub> cells. This interaction induces the activation-induced deaminase (AID) to generate deoxyribonucleid acid (DNA) lesions by deaminating cytosines to uracils. Subsequently, uracils in a DNA strand are recognized and processed by uracil-N-glycosylase (UNG) leading to double strand breaks. Double strand breaks are detected, among others by Ataxia-telangiectasia mutant (ATM) and the MRN complex (MRE11-RAD50-NBS1) and repaired by the nonhomologous end joining pathway (NHEJ) leading to class switch recombination. Defects in this pathway usually lead to normal or increased serum IgM levels but reduction or absence of IgG, IgA and IgE. So far mutations affecting CD40 (Ferrari et al, 2001), CD40L (Allen et al, 1993), AID (Revy et al, 2000), and UNG (Imai et al, 2003) have been described. Mutations affecting ATM (Savitsky et al. 1995), MRE11 (Stewart et al. 1999) and NBS1 (Carney et al, 1998; Varon et al, 1998) have also been described but result in syndromes with a broader clinical picture, apart from CSR defects.



Figure 2 | Protein and gene defects in B-cell development and function. Haematopoietic stem cells (HSCs) give rise to

Figure 3 Gene defects in B cell development (taken from Cunningham-Rundles & Ponda, 2005)

#### **1.6.** Central and peripheral tolerance

Immune tolerance refers to the absence of an immune response to substances or tissues, which are capable of eliciting immune reactions. Dependent on the location of the induction, classifications distinguish central (bone marrow/thymus) from peripheral (lymph node) tolerance. Physiological development of the immune system and the body requires immune tolerance. Whereas central tolerance describes how the immune system learns to distinguish between self and non-self, peripheral tolerance is necessary to prevent over-reactivity of the immune system towards environmental factors such as commensal bacteria or allergens. Even though central tolerance mechanisms work well, not all self-reactive cells can be eliminated as not all self-antigens are expressed in the thymus. Consequently, peripheral tolerance mechanisms are necessary to induce tolerance in these T-lymphocytes (Hogquist et al, 2005).

#### 1.6.1. Central tolerance

Central tolerance is essential for lymphocytes to focus the immune response on pathogens instead of healthy tissue. It takes place either in the bone marrow (B cells) or in the thymus (T cells). During a process called positive selection progenitor T cells able to bind to MHC molecules, are positively selected to differentiate into mature T cells. However, during positive selection self-reactive T cells are also enriched, which increases the risk for autoimmunity. Progenitors with the strongest avidity to self-peptide/MHC complexes are therefore either eliminated during negative selection or made self-tolerant. Thus only weakly reactive progenitors are allowed to mature to take part in immune responses to foreign pathogens (Hogquist et al, 2005).

The process of clonal deletion mainly characterizes central tolerance. This process results in apoptosis of T cells with high affinity for self-antigens (Palmer, 2003). Another process which has mainly been described for B cells is receptor editing. This is a tolerance mechanism where self-antigen binding induces antigen receptor rearrangement either in the T-cell receptor alpha chain or in B cells within the immunoglobulin light chain locus. Another possible tolerance mechanism is anergy, which describes an absent response to antigen even under optimal conditions (Hogquist et al, 2005).

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The following three cell subsets are thought to be induced due to high-affinity TCR binding to self-peptides in the thymus:  $CD4^+CD25^+FOXP3^+$  cells (Tregs),  $CD8\alpha\alpha$  intestinal epithelial lymphocytes and natural killer (NKT) cells (Hogquist et al, 2005). The importance of these processes has been highlighted by mutations in AIRE (Ahonen et al, 1990; Leonard, 1946) and FOXP3 (Powell et al, 1982) in humans (and will be discussed later).

#### 1.6.2. Peripheral tolerance

Although central tolerance mechanisms are efficient, self-reactivity cannot be controlled in all circumstances, highlighting the need for additional tolerance mechanisms. In peripheral tolerance, self-reactive T cells become either unresponsive in a process called anergy or are deleted upon encounter of a self-antigen outside of the thymus (Xing & Hogquist, 2012). These mechanisms are especially important at the time when lymphocytes encounter self-antigens outside of the thymus, such as in the case of developmental antigens, food antigens or antigens only present in times of chronic infection (Xing & Hogquist, 2012).

When T cells encounter a costimulatory signal mediated by CD28 ligation in addition to a TCR signal, they become activated and start to produce cytokines such as IL-2 (Xing & Hogquist, 2012). Activation of the IL-2 receptor complex leads to PI3K/AKT and mTOR mediated T cell proliferation. In case of anergy, mTOR activation is actively suppressed thereby blocking proliferation (Chi, 2012).

#### 1.7. Autoimmunity and auto inflammation

Autoimmunity and auto inflammatory diseases are diseases, which may lead to similar clinical manifestations such as tissue damage and inflammation. However, molecularly they differ in their mechanism. In auto inflammatory disorders the innate immune system directly causes tissue damage for example via altered cytokine production. In autoimmune disorders, the adaptive immune system gets activated and causes tissue damage via autoantibody production or T cell auto reactivity (Doria et al, 2012).

#### 1.7.1. Innate Immune Activation-Auto inflammation

As the innate immune system represents a first line of defense and lacks

memory, it relies on the ability of germline encoded receptors to distinguish between foreign and self-antigens (Cheng & Anderson, 2012). This response is mediated by both complement system and cellular components such as dendritic cells as well as monocytes/macrophages. This response is tightly interconnected with the adaptive immune system and can amplify adaptive immune responses.

To date, syndromes known to result from defects of the adaptive immune system, are for example C1q deficiency, spondyloenchondrodysplasia with immune dysregulation (SPENCDI) and Aicardi-Goutières Syndrome (AGS) (Doria et al, 2012)

#### 1.7.2. Activation of the adaptive immune system - Autoimmunity

B and T cells are unique in their specificity for antigens. Syndromes have been described which highlight the necessity of central and peripheral tolerance mechanisms of the adaptive immune system.

The immune dysregulation, polyendocrinopathy, enteropathy and X-linked (IPEX) syndrome was described in 1982 by Powell (Powell et al, 1982). Clinically, the majority of patients present with watery diarrhea, eczema, diabetes, thyroid autoimmunity, exaggerated response to viral infections and/or an overall increased susceptibility to infections (Moraes-Vasconcelos et al, 2008). Molecularly this syndrome is caused by mutations in the *FOXP3* gene, a key factor for regulatory T cell function. However, also activated T cells transiently express *FOXP3* (Zheng et al, 2010; Zhou et al, 2009). Suppression of immune responses is a complex process and different mechanisms have been proposed (Tang & Bluestone, 2008).

Autoimmune polyendocrine syndrome type 1, also known as APECED is a highly variable disease affecting multiple organs. The diagnosis of APS1 has to include at least two of the three hallmark conditions: autoimmune adrenal insufficiency, autoimmune hypoparathyroidism, and mucocutaneous candidiasis. Descriptions of this syndrome date back to 1910 when the first cases in Finland were described (Ahonen et al, 1990; Leonard, 1946). Most common mutations found in these patients are in the *autoimmune regulator* gene (*AIRE*). This gene is expressed in thymic epithelial cells (TEC) where it regulates expression of self-antigens to be presented to developing

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thymocytes during positive selection (Derbinski et al, 2005; Derbinski et al, 2001). Recently, some studies have also described *AIRE* expression outside of the thymus in lymph nodes and the spleen (Gardner et al, 2009). It has also been shown that autoantibodies to IL17A and IL17F in these patients are associated with the development of candidiasis (Puel et al, 2010). This is in line with the observation that TH17 responses are essential for fungal immunity (Puel et al, 2011).

Immune dysregulation is also a common feature of some patients with combined immunodeficiencies or patients with leaky-SCID phenotypes (Notarangelo, 2009). For example in patients with PNP deficiency, autoimmunity is present in 30% (Notarangelo, 2009). Most commonly patients present with autoimmune hemolytic anemia, however, immune thrombocytopenia (ITP) or neutropenia have been reported as well. It is thought that these complications arise from hyperactive B cells due to loss of T cell regulation (Markert, 1991; Rich et al, 1979). Moreover, patients with ADA deficiency or partial DiGeorge anomaly after thymus transplantation can present with autoimmune cytopenias (Notarangelo, 2009). Interestingly, also V(D)J recombination and non-homologous end joining defects can lead to autoimmune cytopenias (Notarangelo, 2009).

Moreover, patients with CVID commonly present with autoimmune manifestations. It has been shown that, depending on country and study, autoimmune manifestations range between 22-48% (Notarangelo, 2009). Also in these patients cytopenias are common and might be the first manifestation of the disease. It has been shown that patients with decreased numbers of regulatory T cells or decreased switched memory B cells together with high CD19<sup>hi</sup> CD21<sup>lo</sup> B cells have a higher risk of developing autoimmune cytopenia. However, there is no correlation with organ specific autoimmunity but with splenomegaly (Notarangelo, 2009).

#### 1.8. Lymphoproliferation

In Autoimmune lymphoproliferative syndrome (ALPS) proliferation of double negative T cells (DNT) result from loss of Fas-mediated apoptosis. Canale and Smith first described ALPS in 1967 (Canale & Smith, 1967) as lymphoproliferation simulating malignant lymphoma. However the term ALPS
was not used until 1992 when Sneller and colleagues published a "novel lymphoproliferative syndrome resembling murine lpr/gld disease" (Sneller et 1992). ALPS criteria include persistent lymphadenopathy and/or al. splenomegaly in the absence of infectious or malignant etiologies, autoantibodies (anti-cardiolipin, anti-nuclear antibodies and a positive direct Coombs test), overt autoimmune disease and the presence of DNT cells. Most commonly these patients present with autoimmune cytopenias (Oliveira et al, 2010). The disease is frequently caused by mutations in the FAS gene (TNFRSF6) and is inherited in an autosomal dominant way. However, although less frequently, also mutations affecting FASLG, CASP8, CASP10, NRAS, CTLA4 (ALPS1-5) are known to cause ALPS or a similar phenotype (Al-Herz et al, 2014). The severity depends on the genotype and can vary even among different family members (Jackson et al, 1999). In addition, these patients have an increased risk for developing malignancies of about 10-20% (Straus et al, 2001). Molecularly the disease can be explained by an inappropriate cessation of the immune response (Bidere et al, 2006). Physiologically, a high antigen load can stimulate Fas mediated cell death via up regulation of Fas ligand (FasL) on T cells. In addition, after successful clearance of the antigens, T cells undergo passive apoptosis due to lymphokine withdrawal. In case of defective Fas signaling, activated T cell accumulate and cause autoimmune phenomena.

### 1.8.1. EBV-driven lymphoproliferation

Epstein-Barr virus (EBV), which was discovered 40 years ago when studying Burkitt's lymphoma, is common in humans and causes infectious mononucleosis in adults. It is a member of the Herpes virus family and persists in the vast majority of people as an asymptomatic infection (Young & Rickinson, 2004). However in patients with underlying immunodeficiencies it may lead to severe immune dysregulation presenting as fatal mononucleosis, lymphoproliferative disease (LPD), Hodgkin and Non-Hodgkin lymphoma, lymphomatoid granulomatosis, hemophagocytic lymphohistiocytosis (HLH) as well as dysgammaglobulinemia (Chen, 2011). These manifestations are especially common in patients with defects in important T cell receptor pathway genes, T and B cell interactions or defects in proteins important for the cytotoxic pathway in lymphocytes. Therefore these conditions have been termed hemophagocytic syndromes or familial (primary) HLH (see below). Moreover, a rather heterogeneous group of patients with mutations in *DCLRE1C* (Moshous et al, 2001), *RAG1* and *RAG2* (Schwarz et al, 1996), *SH2D1A* (Coffey et al, 1998), *XIAP* (Rigaud et al, 2006) as well as the *LIG4* (O'Driscoll et al, 2001) gene, can present with lymphoproliferation and immune dysregulation after EBV infection. Within recent years additional immunodeficiencies presenting with lymphoproliferation have been discovered such as *STK4* (Nehme et al, 2012), *MAGT1* (Li et al, 2011) and *ITK* (Huck et al, 2009) deficiency.

#### 1.9. Hemophagocytic lymphohistiocytosis (HLH)

HLH can be a life-threatening clinical condition characterized by extensive and uncontrolled activation of immune cells, especially macrophages. This over activation leads to significant overproduction of IFNγ, IL-6 and IL-10 mirrored clinically by symptoms including splenomegaly, cytopenias, prolonged fever, liver failure, seizures and abnormal NK cell function (Faitelson & Grunebaum, 2014). Laboratory alterations include elevated soluble CD25, CD168 as well as CD107 surface expression on NK and cytotoxic T cells (Jordan et al, 2011). An important, albeit rare, differential diagnosis includes visceral leishmaniasis, which can present with similar clinical symptoms.

HLH can occur in different clinical contexts and primary (genetic) HLH has to be distinguished from secondary HLH.

Primary HLH is associated with mutations in *PRF1, UNC13D, STX11, STXBP2, RAB27A, AP3B1* (Hermansky-Pudlak type 2) *ATXBP2, SH2D1A* (*SAP*), *XIAP, ITK, IL2Ry, PNP* and *LYST.* Furthermore patients with more complex syndromes such as DiGeorge Syndrome, Wiskott-Aldrich syndrome, chronic mucocutaneous candidiasis, X-linked agammaglobulinemia can present with HLH (Faitelson & Grunebaum, 2014).

In secondary HLH, no family history or genetic cause is evident. It is hypothesized, that HLH is triggered by concurrent infections (EBV) or other medical conditions (malignancy, rheumatologic disorders) (Faitelson & Grunebaum, 2014).

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Among triggering infections, EBV infections are most commonly associated with HLH. In this setting the disease course varies between spontaneously resolving and severely life-threatening necessitating HSCT. Among others, especially patients with XLP are at high risk to develop EBV-HLH (Faitelson & Grunebaum, 2014).

# 1.10. Clinical diagnosis of patients with primary immunodeficiencies

It is well known that PID patients have increased risk for infections, however often non-infectious manifestations such as autoimmunity or auto inflammation may initially be dominant clinical feature. Therefore, PIDs are under-diagnosed as the primary manifestation is highly variable (McCusker & Warrington, 2011). Due to their multifaceted clinical presentations, patients often present to various medical and surgical specialists before the correct diagnosis is made.

Nevertheless, increased susceptibility to infections is the hallmark of the disease. Mostly, patients present with chronic or reoccurring, rarely with unusually severe life threatening infections. Guidelines for diagnosis include infections with opportunistic pathogens, localization/severity of the infection and type of pathogen. In patients with defects in cellular immunity virus and fungal infections are common, whereas patients with antibody deficiencies, are more likely to present with infections with encapsulated bacteria or enteroviruses (Bousfiha et al, 2013). Infections with encapsulated bacteria are also common in patients with complement deficiencies, where they lead to meningitis, bacteremia or septic arthritis. Phagocytic syndromes are often mirrored by infections of skin, lymph nodes, spleen and liver (Lederman, 2000). As specified above, PID patients can also present with signs of autoimmunity/auto inflammation. These clinical manifestations can either be limited to one organ such as thrombocytopenia or autoimmune thyroiditis, or affect several organs. However, although certain manifestations seem to be autoimmune they rather reflect persistent infections such as enterovirus infection or dermatomyositis (Lederman, 2000). PID may present in a syndromic complex due to additional extra-immune manifestations. Examples are patients with Wiskott-Aldrich syndrome, which exhibit thrombocytopenia,

eczema, T and B cell dysfunctions (Aldrich et al, 1954; Wiskott, 1937). This enables clinicians to diagnose the patient prior to onset of immunodeficiency symptoms.

# 1.11. Therapy of primary immunodeficiencies- curative approaches

1.11.1. <u>Hematopoietic stem cell transplantation (HSCT)</u>

The first successful bone marrow transplantations were performed in 1968 in two SCID patients and one patient with WAS (Bortin, 1970; Gatti et al, 1968). Since then, constant progress has been made to improve transplant-related morbidity and mortality (Filipovich, 2008). High resolution tissue typing, cooperating donor registries and pre- transplant conditioning has led to increased survival of PID patients. Moreover, genetic diagnoses for several PIDs provide additional rationale for transplantation, especially in diseases with natural disease course survival rates below 20 years of age (Filipovich, 2008). For example, severe forms of PID, such as SCID patients, profit from HSCT and this treatment has increased survival rates in these patients to up to 90%.

Dependent on the underlying immunodeficiency, different conditioning regimens have been applied. Albeit HSCT is applied for PID patients since 40 years, there is no clear consensus about donor source or conditioning regimens (Filipovich, 2008). According to the Center for International Blood and Marrow Transplantation (CIBMTR) as well as the European Blood and Marrow Transplant network the type of SCID, predicts early (1–3 years) survival, with better results in T-B+NK- forms and worst outcomes in ADA, specifically with the use of unrelated donors (Myers et al, 2002). A good prognostic factor is young age at transplant (under 1 year, and preferably in the first weeks of life) (Myers et al, 2002).

Myeloablative conditioning regimens often lead to significant transplantrelated morbidity and mortality. On the other hand, use of reduced-intensity conditioning (RIC) did not lead to severe acute toxicity in patients with pre-HSCT comorbidities, with the additional advantage of reducing or even avoiding long-term sequelae, especially infertility and growth retardation. On the other hand, RIC are associated with increased probability of mixed donor chimerism and graft rejection. However, mixed donor engraftment is likely to correct for many primary immunodeficiency disorders, but still donor lymphocyte infusion second to HSCT procedures are sometimes required to increase donor chimerism.

Major adverse risk factors are pre-existing co-morbidities and infections prior to HSCT and infections post HSCT. Therefore, early genetic diagnosis maybe even in an asymptomatic state can improve success and the appropriate conditioning regimen can increase the positive outcome of HSCT in PID patients.

### 1.11.2. <u>Gene therapy</u>

As primary immunodeficiencies constitute a large and heterogeneous group of diseases, gene therapy is only indicated in distinct forms of PID. In addition, in approximately one third of patients no HLA-matched donor can be found. Particularly in those patients gene therapy may represent the best potential curative treatment option. In gene therapy the goal is to *ex vivo* correct for/restore gene expression in autologous cells and transplant them back into the patient. Such cells with restored function would revert disease symptoms in the host. To date gene therapy trials have been performed for ADA-SCID (Aiuti et al, 2009; Gaspar et al, 2011), patients with mutations in the common  $\gamma$ -chain (Gaspar et al, 2011), patients with WAS (Boztug et al, 2010) and CGD patients (Kang et al, 2011) among others. Whereas gene therapy for ADA-SCID was well tolerated, adverse effects including leukemia were observed with other diseases for reasons still not completely understood (Kildebeck et al, 2012).

In early trials mainly retroviral vectors with strong promoters were used to insert the functional copy into the cells. However as these vectors have a tendency to integrate in the vicinity of gene promoters they can also increase expression of potential oncogenes. Therefore in the last decade, systems were changed to self-inactivating (SIN) lentiviral vectors with endogenous promoters driving transgene expression. In addition these vectors do not depend on active replication of cells for infection and integration (Kildebeck et al, 2012).

Albeit partially successful clinical trials with viral vector systems, transgene silencing due to DNA methylation, insertional oncogenesis and absence of endogenous gene regulation let to efforts to find alternative approaches such as gene targeting using zinc finger nucleases (Porteus & Baltimore, 2003). Taken together, improvements in the design of viral vectors and the development of new tools for precise gene targeting represent promising strategies for gene therapy trials and might be able to reduce genotoxic side effects.

# 1.12. Therapy of primary immunodeficiencies- supportive/ noncurative approaches

# 1.12.1. Enzyme replacement therapy

Unlike other PIDs, in ADA deficiency, another treatment option exists, being enzymatic replacement therapy (ERT) with pegylated bovine ADA (Gaspar et al, 2009). ERT is used as an initial therapy for patients where no related HLA-identical donor is available or where due to risk assessment of the physician ERT was chosen to be the most beneficial treatment option.

# 1.12.2. <u>Immunoglobulin substitution</u>

Since the 1950s Immunoglobulin (Ig) substitution has been performed for patients with hypogammaglobulinemia. Over the past years the use of Ig has been extended to patients with partial antibody deficiency and patients with combined immunodeficiencies (Group of Pediatric, 2013). This treatment leads to longer life expectancy by reducing infection frequency and lung damage. However, as a human blood product it also bears a risk of infection. It can be administered either subcutaneously or intravenously, with similar efficacy and safety (Group of Pediatric et al, 2013).

# 1.12.3. <u>Antimicrobials</u>

Although there is limited evidence for prophylactic antibiotics in many PIDs they are widely used. Mostly, common practices are based on knowledge about infecting organisms or studies with patients suffering from acquired immunodeficiencies (Group of Pediatric et al, 2013).

Clear indications for prophylactic antimicrobial therapy are patients with chronic granulomatous disease, since they have a high risk of developing infections with *Staphylococcus aureus*, *Nocardia* and *Burkholderia species*. Patients with humoral immunodeficiencies are at risk of developing bronchiectasis due to chronic lung damage. In this group of patients as well as in patients with hyper-IgE syndrome azithromycin is applied. In T cell immunodeficiencies including SCID patients prophylactic co-trimoxazole is used due to the high risk of *Pneumocystis Jeroveci* infections (Group of Pediatric et al, 2013).

### 1.12.4. <u>Antifungals</u>

Fungal infections can be challenging to diagnose. However, this type of prophylaxis is mainly limited to patients with CGD, as they show a high risk for developing invasive fungal infections (Group of Pediatric et al, 2013).

### 2. Aim of the thesis

The aim oft this thesis was to identify novel monogenic disorders leading to primary immunodeficiencies using state of the art technologies such as exome sequencing and homozygosity mapping.

The identification and functional characterization of the underlying genetic defects will help to understand the pathogenesis of these diseases and may open novel therapeutic opportunities for targeted therapy of affected individuals. Moreover, the identification of key-molecules in the development of autoimmunity and/or lymphoproliferation will help to understand the pathophysiology and pathogenesis not only of these monogenic diseases, but can be extrapolated to a large group of diseases involving autoimmunity.

# 3. Results and Discussion

# 3.1. Combined immunodeficiency with life-threatening EBVassociated lymphoproliferative disorder in patients lacking functional CD27

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# **Combined immunodeficiency with life-threatening EBV-associated** lymphoproliferative disorder in patients lacking functional CD27

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#### ABSTRACT

CD27, a tumor necrosis factor receptor family member, interacts with CD70 and influences T-, B- and NK-cell functions. Disturbance of this axis impairs immunity and memory generation against viruses including Epstein Barr virus (EBV), influenza, and others. CD27 is commonly used as marker of memory B cells for the classification of B-cell deficiencies including common variable immune deficiency. Flow cytometric immunophenotyping including expression analysis of CD27 on lymphoid cells was followed by capillary sequencing of *CD27* in index patients, their parents, and non-affected siblings. More comprehensive genetic analysis employed single nucleotide polymorphism-based homozygosity mapping and whole exome sequencing. Analysis of exome sequencing data was performed at two centers using slightly different data analysis pipelines, each based on the Genome Analysis ToolKit Best Practice version 3 recommendations. A comprehensive clinical characterization was correlated to genotype. We report the simultaneous confirmation of human CD27 deficiency in 3 independent families (8 patients) due to a homozygous mutation (p. Cys53Tyr) revealed by whole exome sequencing, leading to disruption of an evolutionarily conserved cystein knot motif of the transmembrane receptor. Phenotypes varied from asymptomatic memory B-cell deficiency (n=3) to EBV-associated hemophagocytosis and lymphoproliferative disorder (LPD; n=3) and malignant lymphoma (n=2; +1 after LPD). Following EBV infection, hypogammaglobulinemia developed in at least 3 of the affected individuals, while specific anti-viral and anti-polysaccharide antibodies and EBV-specific T-cell responses were detectable. In severely affected patients, numbers of iNKT cells and NKcell function were reduced. Two of 8 patients died, 2 others underwent allogeneic hematopoietic stem cell transplantation successfully, and one received anti-CD20 (rituximab) therapy repeatedly. Since homozygosity mapping and exome sequencing did not reveal additional modifying factors, our findings suggest that lack of functional CD27 predisposes towards a combined immunodeficiency associated with potentially fatal EBV-driven hemophagocytosis, lymphoproliferation, and lymphoma development.

#### Introduction

CD27 is part of the tumor necrosis factor receptor family and critical for B-, T- and NK-cell function, survival, and differentiation, respectively.<sup>1-4</sup> After binding to its specific ligand CD70, CD27 plays a co-stimulatory role highly relevant for anti-viral responses, anti-tumor immunity, and alloreactivity.<sup>5</sup> CD27 is routinely used as marker for class-switched and nonclass-switched memory B cells (CD27<sup>+</sup>IgD<sup>-</sup> and CD27<sup>+</sup>IgD<sup>+</sup>) relevant for the classification of B-cell deficiencies including common variable immune deficiencies (CVIDs).6 Recently, Peperzak et al. showed that CD27 signaling is crucial for sustained survival of CD8<sup>+</sup> effector T cells in mice,<sup>7</sup> and Cd27-/- mice show impaired primary and memory CD4<sup>+</sup> and CD8<sup>+</sup> Tcell responses.<sup>4</sup> Thus, it may be hypothesized that constitutional lack of CD27 in humans may cause a combined primary immunodeficiency.

In immunocompetent hosts, primary Epstein Barr virus (EBV) infection is often asymptomatic, whereas in primary immunodeficiencies such as IL-2-inducible T-cell kinase (ITK) deficiency, X-linked lymphoproliferative syndromes (XLP1, XLP2), primary hemophagocytic lymphohistiocytosis syndromes (HLH) and others, EBV infection may lead to persistent symptomatic viremia.<sup>8-11</sup> Although EBV-specific immunity involves virus-specific humoral components, CD8<sup>+</sup> effector T cells are considered essential for long-term virus control.<sup>11</sup>

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Here, we report 8 pediatric patients from 3 independent pedigrees lacking functional CD27. Clinical manifestations comprised EBV-associated lymphoproliferative disorder (EBV-LPD, n=3) with or without HLH, malignant lymphoma (n=2; +1 after LPD) or absence of overt clinical phenotype (n=3).

#### **Design and Methods**

#### Patients

Material from patients and healthy donors was obtained upon obtaining informed consent in accordance with the Declaration of Helsinki. Family A was analyzed in Vienna, Austria, while Families B and C were assessed in Düsseldorf, Germany. The respective institutional review boards approved the study.

#### Flow cytometric analysis

Analysis of CD27 surface expression and B-cell class switch was performed as described previously.<sup>13</sup>

Information on DNA isolation, primer design, and functional analyses is available in the *Online Supplementary Appendix*.

#### Homozygosity mapping

Genome-wide genotyping based on Affymetrix® Genome-Wide Human SNP Array 6.0 was performed for all 5 family members of Family A. For homozygosity mapping, DNA of each core family member was diluted to 50 ng/ $\mu L$  in 12  $\mu L.$  The protocol was carried out according to the manufacturer's instructions. Raw data were analyzed using genotyping console version 4.0.1.8.6. Loss of heterozygosity (LOH) analysis was performed as well as genotyping, followed by more detailed analysis using PLINK. Homozygous regions in all family members were detected using PLINK (http://pngu.mgh.harvard.edu/~purcell/plink/) using a window size of 5000bp with a minimum of 50 SNPs within this region.<sup>14</sup> Adjacent intervals were considered to represent a single interval when the distance between the intervals was less than 1MB in size. Based on these data, homozygous regions present exclusively in the most severely affected patient, as well as homozygous regions present in all 3 affected siblings, were detected (Online Supplementary Tables S1 and S2).

#### Exome sequencing and data analysis

Exome sequencing for Family A was performed in Vienna, while Families B and C were assessed in Düsseldorf, Germany.

For Family A, a 50 base-pair paired read multiplexed whole exome sequencing (WES) run was performed for the most severely affected patient on an Illumina HiSeq2000 Sequencer running on HiSeq Control Software (HCS) 1.4.8, Real Time Analysis Software (RTA) 1.12.4.2. For WES, DNA was diluted to 20 ng/µL in 57 µL and sample preparation was carried out using Illumina TruSeq DNA Sample Preparation Guide and the Illumina TruSeq Exome Enrichment Guide version 2. The multiplexed pool of 6 samples including the patient was run on all lanes of the flowcell. Demultiplexing and raw image data conversion was performed using Consensus Assessment of Sequence and Variation version 1.7 (CASAVA). Reads were aligned with BWA using the algorithm for short reads (up to  $\sim 200$ bp),<sup>15</sup> a gapped global alignment with maximum of a 1bp gap open to a human genome 19 (hg19) reference was performed. Insertion/deletion realignment and GATK base quality score recalibration was performed.<sup>16</sup> Single nucleotide variants (SNVs) and insertions/deletions were called using Unified Genotyper and GATK Variant quality score recalibration (1000Genomes, HapMap, dbSNP131) was performed. All thresholds for GATK tools were based on the GATK Best Practice Variant

Detection version 3 recommendations. SNV and insertion/deletion lists were uploaded to SeattleSeq Annotation database. Variants present in 1000 Genomes and dbSNP were excluded and lists were filtered for nonsense, missense and splice-site variants within the homozygous regions detected in the most severely affected patient.

For Families B and C, a similar approach was taken with minor differences referring to the usage of single reads with 100 cycles, and alignment with BWA for long reads and using dbSNP132 dataset. Resulting variation calls were annotated by NGS-SNP17 using a local copy of the ENSEMBL databases, PolyPhen2,<sup>18</sup> SIFT<sup>19</sup> and ConDel<sup>20</sup> before being imported into an SQL database.

#### **Results**

#### Patient reports and immunological findings

Patient 1 (Family A, Figure 1A), a 5-year old girl from a Turkish consanguineous family, presented at the age of 17 months after severe primary infectious mononucleosis with suspected hemophagocytic lymphohistiocytosis (HLH). A brief summary of her clinical phenotype was recently described.<sup>21</sup> She developed fulminant ÉBV-LPD and systemic inflammatory response syndrome, and was treated repeatedly with high-dose steroids and rituximab over four years. Flow cytometry revealed undetectable CD27<sup>+</sup> lymphocytes (Figure 1B), an increase in transitional and CD21<sup>low</sup> B cells, and near-absent invariant natural killer T (iNKT) cells (Table 1 and Online Supplementary Figure S1). EBV-specific T cells were detectable (Table 1), and T-cell receptor V $\beta$  spectratyping showed no major abnormalitites in CD4<sup>+</sup>, but moderate oligoclonality in CD8<sup>+</sup> T cells (data not shown). Two of her siblings (Patients 2 and 3; Figure 1A), were clinically asymptomatic but showed borderline hypogammaglobulinemia (Table 1 and Online Supplementary Appendix). Specific IgG antibody responses against EBV-viral capsid antigen were positive in Patient 1 before initiation of immunosuppressive and immunoglobulin substitution therapy; Patients 2 and 3 showed lownormal antibody responses against viral protein and bacterial polysaccharide antigens including CMV, EBNA1, and vaccine antigens (Table 1).

Patient 4 (Family B; Figure 1A) presented at 18 months of age with EBV-LPD and HLH, treated according to the HLH-2004 protocol (including dexamethasone, etoposide, cyclosporine-A) plus an anti-CD20 antibody (rtuximab). Although immunoglobulin levels were normal in Patient 4 at his initial presentation, he became hypogammaglobulinemic four months later. Nine months after initial presentation, EBV-LPD relapsed without signs of hemophagocytosis. He again received HLH treatment and rituximab, followed by matched unrelated cord blood transplantation. His younger sister, Patient 5 (Figure 1A), is 16 months old. She was diagnosed with absent CD27 expression and EBV-infection only after CD27 deficiency had been identified in her brother.

Patient 6 (Family C; Figure 1A) presented at the age of 15 years with EBV-LPD. He responded to rituximab but EBV-viremia recurred three months later. Although he was hypergammaglobulinemic at diagnosis, and his peripheral B cells were again detectable four months after rituximab treatment, immunoglobulin levels slowly decreased. Approximately 20 months after initial presentation, a relapse of EBV-LPD occurred, progressing into T-cell lymphoma within four months, and requiring treatment with rituximab and chemotherapy (R-CHOP, Online Supplementary Appendix) followed by matched unrelated cord blood transplantation. Flow cytometric analysis at relapse revealed absent CD27<sup>+</sup> lymphocytes and very low iNKT cells (Table 1 and Online Supplementary Figure S1). Only limited clinical history and no immunological data are available for the patient's 2 older sisters (Patients 7 and 8). Both died of suspected EBV-driven lymphoma at two and 22 years of age, respectively (Figure 1A), and the diagnosis of CD27 deficiency was established retrospectively from Guthrie card DNA (*see below*).

#### Genetics

Because of the variability of phenotypes in Family A, we performed homozygosity mapping and whole-exome sequencing (WES) after earlier detection of the *CD27* 





mutation by conventional Sanger sequencing (Wolf et al., manuscript in preparation), in order to exclude other contributing genetic conditions or, conversely, to define whether the mutation in *CD27* alone was sufficient for the development of a phenotype. Single nucleotide polymorphism (SNP)-array based homozygosity mapping in Family A revealed four intervals which were present only in the affected sibling (Patient 1; *Online Supplementary Table S1*). However, WES did not reveal any additional relevant genetic aberrations (Figure 1C). Analyses of all 3

Table 1. Patients' characteristics and immunophenotypic details of CD27-deficient individuals.

Patient	1	2	3	4	5	6
Center	Vienna	Vienna	Vienna	Melbourne	Melbourne	Melbourne
Gender	Female	Female	Male	Male	Female	Male
Ethnic origin	Turkish	Turkish	Turkish	Lebanese	Lebanese	Lebanese
Current age	5.5 years	14 years	3.5 years	4 years	16 months	19 years
Age at onset	15 months	NA	NA	1 year	l year	15 years
Symptoms	Recurrent EBV-LPD, SIRS	None, asymptomatic	None, asymptomatic	EBV-LPD, EBV-HLH	Fever, EBV+ ulcers	EBV-LPD, recurrent sinusitis lymphoma
Treatment	IG; Steroids; Rituximab*	None	IG† from age 4-20 months	HLH-2004, cord-HSCT	None	Rituximab, R-CHOP, cord-HSCT
IgG before treatment, g/L (reference range)	4.51 (4.45-15)	8.43 (6.98-11.9)	2.9 (0.55-7.99)	4.67 (2.86-16.8)	11.6 (2.86-16.8)	32.4 (5.18-17.8)
IgA before treatment, g/L	0.55	0.67	0.09	1.1	1.2	3.93
(reference range)	(0.21-2.03)	(0.22-2.74)	(0-0.64)	(0.19-1.75)	(0.19-1.75)	(0.33-2.67)
IgM before treatment, g/L (reference range)	0.581 (0.36-2.28)	1.34 (0.19-0.99)	0.56 (0.09-0.77)	0.75 (0.43-1.63)	3.05 (0.43-1.63)	0.85 (0.32-1.35)
EBV plasma load, copies/ mL	1e2-2e6¶	ND	0-3.6e2*	0-5e6¶	8e6	0-5e6¶
min-max						
EBNA Ab	NA (IVIG)	Positive	Positive	ND	ND	ND
Response to vaccination antigens <sup>§</sup>	Tetanus low Pneumococcus not vaccinated HiB low TBE low-normal Rubella not vaccinated	Tetanus normal Pneumococcus normal HiB normal TBE normal Rubella normal	Tetanus low-normal Pneumococcus low-normal HiB low, TBE norma Rubella normal	Tetanus normal Pneumococcus ND HiB normal TBE not vacc. I Rubella ND	Tetanus low-normal Pneumococcus ND HiB normal, TBE not vacc. Rubella ND	Tetanus normal Pneumococcus no response** HiB normal TBE not vacc. Rubella ND
CD19⁺ B cells, number/µL <sup>ss</sup>	490-1100	520	750-1040	1120	1700	84
IgD-CD27+ B cells <sup>\$\$</sup>	Absent	Absent	Absent	Absent	Absent	Absent
IgD+CD27+ B cells <sup>\$\$</sup>	Absent	Absent	Absent	Absent	Absent	Absent
Transitional B cells % CD19+ <sup>ss</sup>	↑47	ND	↑24	ND	ND	2.6
CD21 <sup>low</sup> B cells, % CD19 <sup>+\$\$</sup>	↑38	ND	↑18	ND	ND	0.4
CD4+ T cells, number/µL <sup>ss</sup>	1340	1540	1460	1660	2400	796
CD8+ T cells, number/µL <sup>ss</sup>	2150	1210	1280	1250	1580	2724
NK cells, number/µL <sup>ss</sup>	320	260	310	270	250	293
iNKT cells, % of CD3+	0.01%-0.02%#	0.08%	0.09%	ND	0.02	<0.01%
in vitro T-cell proliferation*	Normal	ND	ND	Normal	ND	Normal
NK cell function <sup>†</sup>	Mildly reduced	ND	ND	Moderately reduced	Mildly reduced	Mildly reduced
EBV-specific T cells <sup>‡</sup>	present: 0.2% of CD4+	ND ND	ND ND	ND		

and 9.6% of CD8+ T cells, with highly increased IFNγ secretion of CD8+ T cells

NA: not applicable; EBV-LPD: EBV-associated lymphoproliferative disorder; SIRS: systemic inflammatory response syndrome; EBV-HLH: EBV-associated hemophagocytic lymphohistiocytosis; IG: immunoglobulin replacement; CyA: cyclosporin A; cord-HSCT: allogeneic cord blood hematopoietic stem cell transplantation; R-CHOP: rituximab-cyclophosphamide-doxorubicin-vincristine-prednisolone; ND: not determined; reference range: age-specific ranges of immunoglobulin levels in parenthesis; Pneumococcus: pneumococcal polysaccharide vaccine; HiB: Haemophilus influenzae B vaccine; TBE: tick-borne encephalitis vaccine; IFM: interferon<sup>1</sup>, <sup>1</sup>See Online Supplementary Appendix for reference ranges and sources concerning vaccine antibody responses. Briefly, anti-Tetanus IgG was considered 'normal' when >1 IU/mL, 'low-normal' when 0.1-1 IU/mL.Anti-Pneumococcus polysaccharide IgG antibodies were compared before and after vaccine antion in Patient 6 and measured repeatedly >6-12 months after IgG substitution therapy in Patient 3, being considered normal when >0.16-1mg/L. Anti-TBE IgG were measured as 'Vienna units' and considered normal when >155 VIEunits. Anti-Rubella IgG antibodies were only qualitatively analyzed. <sup>41</sup>B and T cell normal ranges and memory B-cell subset reference values were applied according to previous publications (see Online Supplementary Appendix). \*In vitro T-cell proliferation was measured as standard lymphocyte function test after 3-day incubation with phytohemagglutinin (in Patients 1, 4 and 6), and concanavalin A, pokeweed mitogen, CD3, Tetanus antigen, and staphylococcal superantigens (Patient 1). <sup>1</sup>For NK-cell function, cytotoxicity assay with peripheral blood mononuclear cells against NK-sensitive target cells (K562) in six different effector and NK:target-cell ratios (Online Supplementary Design and Methods), and in Patient 1 also CD107 degranulation. <sup>1</sup>For yokine secretion assay, EBV LMP2A peptivator® (Miltenyi, Bergisch Glabbach, according to the manufacturer's instructions). <sup>8</sup>Two courses of rit individuals lacking CD27<sup>+</sup> cells (Patients 1-3) revealed a total of two overlapping, homozygous candidate intervals (*Online Supplementary Table S2*), including an interval on Chromosome 12 containing the *CD27* gene. The missense mutation in *CD27* (c. G158A, p. Cys53Tyr) was found homozygous in 3 of 4 siblings in this family and heterozygous in both parents (Figure 1D and *Online Supplementary Figure S2*).

The parental consanguinity and shared ethnic background (Lebanese) in Families B and C suggested a common autosomal recessive genetic alteration. Therefore, WES of Patients 4 and 6 was performed which identified the same missense mutation (c. G158A) in CD27 as the only novel shared homozygous single nucleotide variant predicted to be probably damaging or deleterious by different prediction tools (Online Supplementary Appendix and Online Supplementary Figures S2 and S3). The mutation was confirmed by Sanger Sequencing in all cases (Figure 1D). It is located within a motif of the ligand-binding domain evolutionarily conserved among different species and various TNFR family members (Online Supplementary Figure S4). Retrospective analysis of Patients 7 and 8, who had died years earlier, using DNA obtained from Guthrie newborn screening cards, confirmed the same CD27 mutation (Online Supplementary Figure S2). Unfortunately, no specimens for immunological analyses are available from those patients.

#### Discussion

In this study, clinical and laboratory observations revealed a novel CD27-linked immunodeficiency predisposing towards an EBV-associated, potentially fatal disease. In parallel, van Montfrans et al. recently identified a different homozygous mutation in CD27 (c.G24A, p.Trp8X) in 2 brothers of a consanguineous Moroccan family, of whom one died from severe infectious mononucleosis at a young age and the other recovered with persistent EBV-viremia and secondary hypogammaglobulinemia.<sup>22</sup> The clinical courses of Patients 1-8 and the patients reported by van Montfrans et al. suggest that the immunological/environmental context of the primary EBV infection may play a role in the first occurrence of hypogammaglobulinemia and the severity of the clinical symptoms in CD27-deficient patients,<sup>22</sup> although longitudinal observation of a larger number of patients and, ideally, preemptive monitoring of asymptomatic family members will be needed to confirm this hypothesis. Immunoglobulin levels were normal or borderline-low at onset of symptoms in symptomatic patients and at the time of diagnosis in asymptomatic patients, respectively. To date, hypogammaglobulinemia developed in Patients 1, 4 and 6 who have had relapsing symptomatic EBV infection. In addition, van Montfrans et al. observed normal peripheral B-cell differentiation, undisturbed germinal center reactions, and normal T-cell independent B-cell responses, absence of CD27.22 No EBV-seronegative CD27-deficient individual has been identified until present. It, therefore, remains unclear whether hypogammaglobulinemia is due to the loss of CD27 function alone or, more likely, the result of chronic EBV infection. While 'Timing and Tuning' was described necessary for co-stimulatory signals by Nolte et al.,5 and other TNF- or immunoglobulin receptors (e.g. herpes virus entry media-

tor [HVEM], CD30, OX40 [CD134], 4-1BB [CD137] or CD28) have partially overlapping functions,<sup>23</sup> the human data presented here suggest that CD27 might not be essential but unique among co-stimulatory molecules in its relevance for the primary immune response against EBV. One could hypothesize that absent function of CD27 affected the normal silencing of *EBV* gene expression in infected cells, thus perturbing the establishment of EBV persistence. Whether there is a cellular reservoir of class-switched/germinal center-derived B cells or potentially other, normally also CD27-expressing, cell types with EBV persistence despite lack of functional CD27 is unclear. Together, the identification of CD27 deficiency in 4 independent families, and the observation that no additional mutations in genes other than CD27 could be identified by WES, suggest that CD27 deficiency alone, either due to a complete lack (p.Trp8X) or perhaps only a deficient surface expression (p.Cys53Tyr), causes disease with a broad clinical variability.

#### Immunological consequences

The severe reduction in iNKT cells in CD27-deficient patients during massive EBV-LPD (i.e. Patients 1 and 6; Table 1 and *Online Supplementary Figure S1*) may further support a primary role of iNKT cells for EBV-LPD pathogenesis as described previously in SAP, XIAP and ITK deficiency,<sup>924,25</sup> implicating that the CD70-CD27 axis acts as a co-stimulatory requirement for development and/or maintenance of iNKT cells, or it may be a secondary phenomenon.

Similar to other subtle T-cell disorders, such as SAP-, XIAP- and ITK deficiency, our data suggest that immunity against other viral infections does not seem as severely compromised in human CD27 deficiency.

Other clinically relevant consequences of CD27 dysfunction might include: i) decreased memory formation to viral (including vaccine protein) antigens;<sup>4,26</sup> and ii) perturbed anti-tumor immunity of T cells,<sup>27</sup>  $\gamma\delta$ T cells,<sup>28</sup> and NK cells,<sup>29,30</sup> potentially leading to an increased risk of other malignomas in addition to EBV-lymphomas. It is likely that more individuals with dysfunctional CD27 will be identified among patient cohorts with hypogammaglobulinemia (with or without EBV-LPD) and absent CD27-expressing memory B cells, potentially leading to the recognition of CD27 deficiency as a novel, albeit probably a rare, combined immunodeficiency.<sup>81-33</sup> Of note, Patients 1 and 3 also showed expansion of transitional and CD21<sup>low</sup> B cells, which is reportedly associated with increased risks of lymphadenopathy, splenomegaly, and granuloma formation in CVID, similar to XLP patients.<sup>34</sup>

#### Conclusions

CD27 deficiency should be considered in all patients with hypogammaglobulinemia or unusually severe causes of EBV infection in order to allow for an individualized treatment based upon the experience with this condition so far. Our results illustrate that modern genomic technologies such as WES may identify and confirm diseasecausing mutations in monogenetic recessive diseases even with limited numbers of affected individuals. Future studies to clarify the cellular pathomechanistic consequences of CD27 deficiency are warranted.

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#### Authorship and Disclosures

Information on authorship, contributions, and financial & other disclosures was provided by the authors and is available with the online version of this article at www.haematologica.org.

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# 3.2. B-cell deficiency and severe autoimmunity caused by deficiency of protein kinase C $\delta$

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#### IMMUNOBIOLOGY

# B-cell deficiency and severe autoimmunity caused by deficiency of protein kinase C $\delta$

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#### **Key Points**

- PRKCD deficiency causes a novel primary immunodeficiency with B-cell deficiency and severe autoimmunity.
- Protein kinase C δ may represent a key factor controlling immune homeostasis and autoimmunity.

Primary B-cell disorders comprise a heterogeneous group of inherited immunodeficiencies, often associated with autoimmunity causing significant morbidity. The underlying genetic etiology remains elusive in the majority of patients. In this study, we investigated a patient from a consanguineous family suffering from recurrent infections and severe lupuslike autoimmunity. Immunophenotyping revealed progressive decrease of CD19<sup>+</sup> B cells, a defective class switch indicated by low numbers of IgM- and IgG-memory B cells, as well as increased numbers of CD21<sup>low</sup> B cells. Combined homozygosity mapping and exome sequencing identified a biallelic splicesite mutation in *protein C kinase*  $\delta$  (*PRKCD*), causing the absence of the corresponding protein product. Consequently, phosphorylation of myristoylated alanine-rich C kinase substrate was decreased, and mRNA levels of nuclear factor interleukin (IL)-6 and IL-6 were increased. Our study uncovers human PRKCD deficiency as a novel cause of common variable immunodeficiency-like B-cell deficiency with severe autoimmunity. (*Blood.* 2013;121(16):3112-3116)

#### Introduction

Primary B-cell immunodeficiencies (B-PID) constitute a heterogeneous group of immunodeficiencies characterized by defective production of antigen-specific antibodies and predisposition to recurrent and severe infections.<sup>1</sup> A high proportion of patients display autoimmune features.<sup>2</sup>

Fine-tuned B-cell receptor (BCR) signaling is crucial for controlling immune homeostasis, as aberrant BCR signaling predisposes patients to autoimmunity.<sup>3</sup>

In the last decade, several Mendelian defects causing B-PID have been identified.<sup>3,4</sup> Nonetheless, the molecular etiology of these disorders remains elusive in the majority of patients. The advent of high-throughput genomic technologies will be instrumental in defining the spectrum of molecular aberrations underlying primary B-cell deficiencies.

Here we investigated the molecular cause of a common variable immunodeficiency (CVID)-like B-PID with progressive B-cell lymphopenia, an immunoglobulin class switch defect, aberrant immunoglobulin levels, and severe autoimmunity. Combined homozygosity mapping and exome sequencing identified a biallelic mutation in *protein C kinase*  $\delta$  (*PRKCD*) encoding protein kinase C  $\delta$  as the molecular cause of this novel PID.

#### Methods

A detailed description of all experimental methods can be found in the supplemental Methods on the *Blood* website.

#### Subjects

This study has been approved by the ethics committee of the Medical University of Vienna, Austria. Biological material was obtained on informed consent in accordance with the Declaration of Helsinki. The patient was followed up and treated at the Klinikum Wels-Grieskirchen,

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E.S. and E.S.-V. contributed equally to this study.

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HUMAN PRKCD DEFICIENCY 3113



**Figure 1. Clinical and immunological characterization of the index patient.** (A) First renal biopsy was performed at the age of 15 months. Granular deposition of IgG along the periphery of the capillary loops (left) as seen in membranous nephropathy (MGN) was confirmed by transmission electron microscopy (TEM) (right), which showed electron dense deposits between basement membrane and podocytes (P), as well as deposits partially in resolution and incorporated by basement membrane material (arrows), consistent with MGN stage 1 to III (CL, capillary loop). (B) Histopathological analysis of a lymph node biopsy revealed unspecific, reactive follicular hyperplasia (arrow) but not the characteristic lymph node changes of autoimmune lymphoproliferative syndrome (ALPS) associated with *CD95/FAS* mutations (ALPS type 0/1a). The left and middle panels show hematoxylin and eogin stains, and the right panel shows anti-CD20 staining. (C) Representative FACS plots illustrating the aberrant B-cell phenotype including B-cell lymphopenia, decreased IgM- and IgG memory B cells, and increased numbers of CD21<sup>low</sup> B cells. (D) Longitudinal analysis illustrates progressive decrease of CD19<sup>+</sup> B cells and (E-G) persistence of the aberrant distribution of B-cell subsets. \*First episode of nephrotic syndrome. #Treatment with anti-CD20. The dotted lines indicate the age-related 25th and 75th percentiles of the corresponding cells.<sup>23</sup>

St. Anna Kinderspital Vienna, and the Department of Pediatrics and Adolescent Medicine of the Medical University, Vienna, Austria.

#### Flow cytometry-based immunophenotyping

Flow cytometry analysis of peripheral blood mononuclear cells was performed on a Beckton-Dickinson LSR Fortessa or FACS Calibur.

#### Genetic analysis

Sanger sequencing was performed according to standard methods; single nucleotide polymorphism–based homozygosity mapping and exome sequencing were performed as described previously with minor modifications.<sup>5</sup>

#### Immunoblot analysis

Immunoblot analyses were performed with the following antibodies: antihuman PRKCD (Cell Signaling, Frankfurt am Main, Germany), antiphospho (clone D13E4) and total myristoylated alanine-rich C kinase substrate (MARCKS) (clone D88D11; both from Cell Signaling), and anti-GAPDH (clone 6C5; Santa Cruz Biotechnology, Heidelberg, Germany).

#### Quantitative polymerase chain reaction analysis

mRNA levels of interleukin (*IL*)-6 and nuclear factor (*NF*)-*IL*6 in Epstein-Barr virus–transformed B cells from the patient and his father, upon stimulation with phorbol myristate acetate, were measured by quantitative polymerase chain reaction analysis.

#### T-cell Vβ spectratyping

T-cell receptor V $\beta$  spectratyping was performed according to Pannetier et al<sup>6</sup> with minor modifications.

#### **Results and discussion**

#### **Clinical and laboratory characterization**

The index patient (now 12 years of age) was born to consanguineous parents (first-degree cousins) of Turkish origin (supplemental Figure 1). His father was diagnosed with Behçet's disease and mild autoimmune thyreoiditis at 40 years of age. The mother is asymptomatic. The patient's medical history is characterized by multifaceted manifestations of recurrent severe infections and autoimmunity as specified below.

**Infections.** From the first year of life onward, the patient experienced repeated episodes of infections, including urinary tract infections, gastroenteritis, upper and lower respiratory tract infections, and otitis media, prompting tonsillectomy and adenoidectomy within the first 4 years of life. Frequency and severity of infections decreased after commencement of immunoglobulin substitution at the age of 4 years.

Autoimmunity and immune dysregulation. The first manifestation of autoimmunity occurred at 15 months of age, when the patient presented with nephrotic syndrome. Renal biopsy revealed membranous glomerulonephritis (Figure 1A; supplemental Figure 2). Partial remission was achieved with steroid treatment with remaining mildly impaired renal function (low-grade proteinuria, hematuria; supplemental Table 1). By 3 years of age, hepatosplenomegaly (supplemental Figure 3) and generalized lymphadenopathy became apparent, prompting an in-depth diagnostic workup, which revealed low-grade viremia of human herpes virus subtypes 6 and 7. Herpes viremia was transient, whereas lymphadenopathy persisted. Several lymph node biopsies revealed nonspecific reactive follicular hyperplasia (Figure 1B). Bone marrow aspiration did not reveal any signs of malignancy (not shown). In the following years, additional manifestations of autoimmunity including relapsing polychondritis developed. Latent hypothyroidism was detected; organ-specific autoantibodies were absent. At the age of 8 years, aseptic endocarditis and pulmonary embolism were diagnosed, and laboratory investigations suggested the diagnosis of antiphospholipid syndrome (positivity of anti-nuclear antibodies, anti-dsDNA, and anti-cardiolipin IgG antibodies; supplemental Table 2), prompting anticoagulation therapy and low-dose steroid therapy.

Immunological workup. Detailed laboratory evaluations were first performed after manifestation of glomerulonephritis at 15 months of age and revealed low IgG levels, whereas levels of IgA and IgM were above the normal range (supplemental Figure 4). B-cell studies showed a reduction of CD19<sup>+</sup> B cells, decreased relative proportions of non-class-switched (CD19<sup>+</sup>CD27<sup>+</sup>IgD<sup>+</sup>) and class-switched (CD19<sup>+</sup>CD27<sup>+</sup>IgD<sup>-</sup>) memory B cells, and increased numbers of CD21<sup>low</sup> B cells (Figure 1C; supplemental Table 3). Longitudinal analyses showed progressive decline of total CD19<sup>+</sup> B cells (Figure 1D), increased relative proportion of  $\mbox{CD21}^{\rm low}$  B cells (Figure 1E), and decreased frequencies of memory B cells (Figure 1F-G). T-cell studies showed mildly decreased Tcell proliferative responses (supplemental Table 2) in the absence of obvious immunophenotypic aberrations (supplemental Table 3) or skewing of the T-cell receptor VB repertoire (supplemental Figure 5). Impaired B-cell function was suggested by the absence of isohemagglutinins. Overall, findings were compatible with a CVIDlike phenotype, although the formal criteria including decreased levels of at least 2 classes immunoglobulins' were not fulfilled.

**Treatment.** Because of recurrent respiratory tract infections including pneumonia, immunoglobulin G replacement was initiated at 4 years of age, leading to a decrease of infection frequency. At the age of 9 years, anti-CD20 therapy (2 courses of 375 mg/m<sup>2</sup> each) was performed to alleviate autoantibody production. Despite transient normalization of the previously increased IgM levels (supplemental Figure 4), autoantibodies persisted. Since the age of 8 years, the patient has been under continuous treatment with mycophenolate-mofetil and low-dose steroids. Other treatment includes enalapril (angiotensin-converting enzyme inhibitor), anticoagulants, thyroid hormone replacement, and immunoglobulin replacement. With this treatment, the boy has a reasonably good quality of life, without the need for hospitalization or intravenous antibiotics during the last 3 years.

**Routine genetic investigation.** A genetic workup revealed no mutations in the *ICOS*, *BAFFR*, *TACI*, or *FOXP3* genes, respectively. Surface expression of CD40/CD40 ligand was normal (data not shown). A heterozygous variant in *CTLA4* was discovered in both the index patient and his father (rs231775). Homozygosity for this variant has been associated with Graves's disease, rheumatoid arthritis, and systemic lupus erythematous,<sup>8</sup> whereas heterozygosity is associated with autoimmune thyreoiditis<sup>9</sup> but not systemic lupus erythematous.<sup>10</sup> The clinical presentation of this patient with multiple features of immune dysregulation including glomerulonephritis, lymphadenopathy, relapsing polychondritis, and antiphospholipid syndrome in the context of a CVID-like immune phenotype could thus not be reconciled with the heterozygous *CTLA4* variant alone. Hence, we initiated further genetic investigations to detect the molecular background of the patient's disease.

#### Mutation identification in the PRKCD gene

Given the consanguinity in the family, a monogenetic defect with autosomal recessive inheritance was assumed. To uncover the underlying genetic cause, we performed single nucleotide polymorphism array–based homozygosity mapping (Figure 2A; supplemental Table 4) and exome sequencing. Hits from exome sequencing were filtered for homozygous intervals present exclusively in the patient and validated by Sanger sequencing (supplemental Figure 6; supplemental Table 4). Only 2 of these hits showed perfect segregation with the disease: *UBXN1* (c. G686A, p. Thr229Met) and *PRKCD* (c.1352+1G>A) (Figure 2B and supplemental Figure 1, respectively).

While no obvious role for UBXN1 in the patient's disease pathogenesis could be recognized (Supplemental Materials), PRKCD was considered a plausible candidate, because it has a well-established role in B-cell signaling<sup>11,12</sup> and the corresponding *Prkcd*<sup>-/-</sup> knockout mouse exhibits various autoimmune manifestations together with generalized lymphadenopathy.<sup>13</sup> The murine model also shows splenic lymphocyte hyperproliferation,<sup>13</sup> reminiscent of human autoimmune lymphoproliferative syndrome.<sup>14</sup> Western blot analysis revealed the absence of PRKCD in the patient, whereas expression was decreased in a heterozygous parent compared with a healthy control (Figure 2C). Lower expression in the heterozygous carrier does not seem to be sufficient to cause disease, because the parents do not present with the characteristic clinical features seen in the patient.

#### Functional consequences of PRKCD deficiency

PRKCD is a member of the protein kinase C family critical for regulation of cell survival, proliferation, and apoptosis.<sup>15</sup> In B lymphocytes, PRKCD is involved in BCR-mediated signaling downstream of Bruton's tyrosine kinase and phospholipase C $\gamma 2$ .<sup>11</sup> PRKCD is expected to have an essential function in B-cell tolerance, because the corresponding knockout mouse shows immune-complex glomerulonephritis, splenomegaly, and lymphadenopathy associated with B-cell expansion and defective B-cell tolerance to self-antigen.<sup>13</sup> Autoimmunity in *Prkcd<sup>-/-</sup>* mice has been linked to defective proapoptotic extracellular signal-regulated kinase signaling during B-cell development.<sup>16</sup> Recently, *PLC* $\gamma 2$  mutations have been identified in CVID(-like) B-cell deficiency with autoimmunity, highlighting the importance of this pathway for B-cell homeostasis.<sup>17,18</sup>

To assess functional consequences of PRKCD deficiency, expression of MARCKS, a major PKC target,<sup>19</sup> was evaluated. Immunoblot analysis in Epstein-Barr virus–immortalized patient B-cell lines showed reduced total levels of MARCKS, despite contrary literature findings.<sup>20</sup> Importantly, MARCKS phosphorylation at Ser167/170, which is critical for translocation of MARCKS from the plasma membrane to the cytoplasm mediating reduction of cell proliferation,<sup>21</sup> was abrogated in the patient (Figure 2D). Thus, deficiency of pMARCKS may be related to the lymphopro-liferation in the patient.<sup>21</sup>

On phosphorylation of NF-IL6 at Ser240 by PRKCD, the DNA binding capability of NF-IL6, and consequently IL6 production, is markedly reduced.<sup>22</sup> Accordingly, we observed increased mRNA levels of *NF-IL6* and *IL6* in the PRKCD-deficient patient after phorbol myristate acetate stimulation (Figure 2E), similar to hyperactive NF-IL6 signaling observed in *Prkcd<sup>-/-</sup>* mice.<sup>13</sup>

In summary, we describe PRKCD deficiency as a novel primary CVID-like B-cell deficiency. The index patient of this study exhibited features of immune dysregulation including lymphoproliferation (splenomegaly and lymphadenopathy) and autoimmunity (glomerulonephritis, antiphospholipid syndrome, and relapsing polychondritis) similar to the murine knockout model. Figure 2. Identification of human PRKCD deficiency as a monogenetic B-cell deficiency associated with autoimmunity. (A) Single nucleotide polymorphism array-based homozygosity mapping was performed and revealed several homozygous candidate intervals, including an interval on chromosome 3p21.31. (B) Sanger sequencing validated a splice site mutation in PRKCD, encoding for protein kinase C  $\delta$  which was homozygous in the patient. (C) Western blot analysis showed absent expression of the corresponding protein product in the patient compared with decreased expression in the heterozygous father and normal expression in a healthy control. (D) Western blot analysis showed defective phosphorylation of MARCKS, a downstream target of PRKCD. (E) Quantitative polymerase chain reaction analysis showed hyperactive NF-IL6 signaling on stimulation using phorbol myristate acetate, as indicated by increased mBNA levels of NF-IL6 and IL6.



However, neither peripheral B-cell lymphopenia nor defective class switch observed in our patient was assessed in the mouse. It cannot be excluded that the known heterozygous variant in *CTLA4* in the patient may act as a disease-modifying factor. Future studies will need to comprehensively characterize the clinical and immunological phenotype in a cohort of PRKCD-deficient patients and further dissect the molecular pathophysiology of aberrant PRKCD-signaling in B-cell homeostasis and autoimmunity.

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#### Authorship

Contribution: E.S., E.S.-V., S.K., S.A.B., N.K.P., and W.G. performed all experimental work except serial routine immunological characterization performed by W.F.P. W.E., H.B., A.H., K.A., F.E., M.G.S., W.H., A.P., and E.F.-W. provided clinical care and critically reviewed clinical and immunological patient data. L.M. and R.K. performed histopathological analyses. K.B. conceived this study with help from E.F.-W.; planned, designed, and interpreted experiments; provided laboratory resources; guided E.S., E.S.-V., S.K., S.A.B., N.K.P., and W.G.; and wrote the initial draft of the manuscript with assistance from E.S., E.S.-V., S.K., S.A.B., N.K.P., and E.F.-W. All authors critically reviewed the manuscript and agreed to its publication.

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# 3.3. Early-onset inflammatory bowel disease and common variable immunodeficiency–like disease caused by IL-21 deficiency

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# Early-onset inflammatory bowel disease and common variable immunodeficiency–like disease caused by IL-21 deficiency

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Background: Alterations of immune homeostasis in the gut can result in development of inflammatory bowel disease (IBD). Recently, Mendelian forms of IBD have been discovered, as exemplified by deficiency of IL-10 or its receptor subunits. In addition, other types of primary immunodeficiency disorders might be associated with intestinal inflammation as one of their leading clinical presentations.

Objective: We investigated a large consanguineous family with 3 children who presented with early-onset IBD within the first year of life, leading to death in infancy in 2 of them. Methods: Homozygosity mapping combined with exome sequencing was performed to identify the molecular cause of the disorder. Functional experiments were performed to assess the effect of IL-21 on the immune system.

Results: A homozygous mutation in *IL21* was discovered that showed perfect segregation with the disease. Deficiency of IL-21 resulted in reduced numbers of circulating CD19<sup>+</sup> B cells, including IgM<sup>+</sup> naive and class-switched IgG memory B cells, with a concomitant increase in transitional B-cell numbers. *In vitro* assays demonstrated that mutant IL-21<sup>Leu49Pro</sup> did not induce signal transducer and activator of transcription 3 phosphorylation and immunoglobulin class-switch recombination.

Conclusion: Our study uncovers IL-21 deficiency as a novel cause of early-onset IBD in human subjects accompanied by

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© 2014 American Academy of Allergy, Asthma & Immunology http://dx.doi.org/10.1016/j.jaci.2014.02.034 defects in B-cell development similar to those found in patients with common variable immunodeficiency. IBD might mask an underlying primary immunodeficiency, as illustrated here with IL-21 deficiency. (J Allergy Clin Immunol 2014;133:1651-9.)

**Key words:** IL-21, early-onset inflammatory bowel disease, common variable immunodeficiency, exome sequencing

A tightly regulated balance of the immune system is critical for immune homeostasis and, if altered, may be responsible for the development of inflammatory bowel disease (IBD).<sup>1</sup> Genome-wide association studies to identify genetic factors of complex IBD traits have suggested that both genetic and environmental factors contribute to the disease.<sup>2-4</sup> The majority of susceptibility loci identified have revealed genes important in immunologic processes.<sup>5</sup> More recently, early-onset Mendelian forms of IBD have been recognized, as illustrated by the discovery of IL-10 (receptor) deficiency.<sup>6.7</sup>

Intriguingly, patients with various primary immunodeficiency disorders can also exhibit intestinal inflammation as one of their leading symptoms.<sup>8</sup> For instance, qualitative or quantitative neutrophil defects, such as chronic granulomatous disease<sup>9</sup> or G6PC3 deficiency,<sup>10</sup> have been associated with chronic intestinal inflammation. In addition, patients with partial T-cell deficiency, Wiskott-Aldrich syndrome,<sup>11,12</sup> LPS-responsive beige-like anchor (LRBA) deficiency,<sup>13</sup> or defects in the development of regulatory T cells<sup>14</sup> might present with colitis. Similarly, primary B-cell deficiencies, including the subgroup of common variable immunodeficiencies (CVIDs), frequently display an IBD-like phenotype.<sup>15,16</sup> These observations indicate that multiple immunopathologic processes can result in IBD and point to the gastrointestinal tract as a particularly vulnerable site for aberrations of immune homeostasis.

We here describe IL-21 deficiency as a novel primary immunodeficiency, which associates a CVID-like B-cell deficiency with early-onset IBD.

#### METHODS

#### **Patients and ethics**

This study has been approved by the responsible local ethics committee. Biological material was obtained on informed consent in accordance with the Declaration of Helsinki. The patient was followed up and treated at the Departments of Immunology and Gastroenterology, respectively, at Ankara University in Turkey.

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Abbrevia	tions used
APC:	Allophycocyanin
CD40L:	CD40 ligand
CVID:	Common variable immunodeficiency
DMEM:	Dulbecco modified Eagle medium
IBD:	Inflammatory bowel disease
IL-21R:	IL-21 receptor
MD:	Molecular dynamics
NK:	Natural killer
PE:	Phycoerythrin
SNP:	Single nucleotide polymorphism
SNV:	Single nucleotide variant
STAT:	Signal transducer and activator of transcription
TCR:	T-cell receptor
WT:	Wild-type

#### Flow cytometry-based immunophenotyping

Flow cytometric analyses were performed on a BD LSR Fortessa, BD FACSCanto, or BD FACSCalibur. In brief, PBMCs from the patient and a healthy control subject were isolated by using Ficoll density gradient centrifugation and stained for 20 minutes at 4°C with mouse anti-human antibodies: CD3–allophycocyanin (APC)-H7 (clone SK7, BD Biosciences, Vienna, Austria), CD4-APC (clone RPA-T4, BD Biosciences), CD8-V500 (clone RPA-T8, BD Biosciences), CD19-PerCPCy5.5 (clone HIB19; eBioscience, Vienna, Austria), CD21–phycoerythrin (PE; clone B-ly4, BD-Biosciences), CD27-Brilliant violet (clone M-T271, BD Biosciences), CD3-PECY7 (clone HIT2, BD Biosciences), IgD–fluorescein isothiocyanate (clone IA6-2, BD-Biosciences), IgM-APC (clone G20-127, BD Biosciences), T-cell receptor (TCR)  $\alpha\beta$  (fluorescein isothiocyanate, clone WT31, BD Biosciences), and TCR $\gamma\delta$  (PE, clone 11F2, BD Biosciences).

Activation of B cells, in-depth B-cell phenotyping, and stimulation were carried out, as published previously.<sup>17-19</sup>

#### Genetic analyses

Genomic DNA sequencing of *IL10*, *IL10RA*, and *IL10RB* was performed according to standard methods (see Table E1 the Methods section in this article's Online Repository at www.jacionline.org for details). Single nucleotide polymorphism (SNP)–based homozygosity mapping and exome sequencing were performed as described previously<sup>20</sup> with minor modifications (see the Methods section in this article's Online Repository).

#### **Recombinant protein production**

Recombinant protein production with the HEK-EBNA system was carried out, as described previously.<sup>21,22</sup> In brief, HEK-EBNA cells were seeded in a 10-cm dish in complete Dulbecco modified Eagle medium (DMEM; PAA, Invitrogen, Vienna, Austria) and transfected with 5  $\mu$ g of vectors encoding N-terminally His-tagged IL-21<sup>WT</sup> or IL-21<sup>Leu49Pro</sup>.

Transfected cells were selected with complete DMEM containing 1  $\mu$ g/mL puromycin (Sigma-Aldrich, Vienna, Austria). Once confluence was reached, cells were set on serum-free DMEM to initiate IL-21 production. Supernatants were collected after 1 week, filter sterilized, and frozen at  $-80^{\circ}$ C. Trichloroacetic acid precipitation was performed at  $-20^{\circ}$ C overnight to test successful IL-21 production. Subsequently, proteins were washed with 80% acetone and 100% acetone once each, air-dried, and resuspended in Laemmli buffer. Equal volumes of sterile-filtered supernatants were loaded on a 12% acrylamide gel, and the membrane was probed against the His-tag of the produced proteins to assess equal production and stability of wild-type (WT) and mutant IL-21 protein, respectively. Subsequently, stimulation experiments were carried out with equal volumes of supernatants and thus equal amounts of mutant and WT IL-21, respectively.

#### Stimulation of Jurkat cells

Jurkat cells (5 × 10<sup>6</sup> per condition) were serum starved to reduce global phosphorylation levels and stimulated with equal volumes of IL-21<sup>WT</sup>, IL-21<sup>Leu49Pro</sup>, or 10 ng/mL commercially available recombinant IL-21 (eBioscience), respectively, for 30 minutes. Cells were washed once with cold PBS and spun down, and the pellet was snap-frozen in liquid nitrogen. Protein was isolated with cell lysis buffer containing 20 mmol/L Tris (pH 7.5), 150 mmol/L NaCl, 2 mmol/L EDTA, 1% Triton X-100 (pH 7.1), and complete protease inhibitor cocktail (Sigma-Aldrich). Samples were run on an 8% acrylamide gel at a constant voltage of 110 V for 2 hours and blotted at 350 mA for 1.5 hours. Membranes were blocked with 5% BSA–Trisbuffered saline containing 0.05% Tween (Sigma-Aldrich) and incubated with the respective antibodies overnight at 4°C.

#### Immunoblot analysis

The primary antibodies used for Western blot analysis were rabbit anti-human signal transducer and activator of transcription (STAT) 3 and phospho-STAT3 (Tyr701, 58D6; both from Cell Signaling, Frankfurt am Main, Germany). Primary antibodies directed against His (AD1.1.10) and a mouse anti-human glyceraldehyde-3-phosphate dehydrogenase antibody (clone 6C5; both from Santa Cruz Biotechnology, Heidelberg, Germany) were used. Horseradish peroxidase–conjugated goat anti-rabbit (Bio-Rad Laboratories, Vienna, Austria) and goat anti-mouse (BD Biosciences) secondary antibodies were detected by using a chemiluminescent substrate (Amersham ECL Prime Western Blotting Detection Reagent, GE Life Sciences, Vienna, Austria). Detection was performed by using autoradiography with Hyperfilm ECL (Fisher Scientific, Vienna, Austria).

#### **T-cell proliferation analysis**

T-cell proliferation assays were carried out, as described previously.<sup>20</sup>

#### T-cell V $\beta$ spectratyping

TCR V $\beta$  spectratyping was performed, according to the method of Pannetier et al,<sup>23</sup> with minor modifications (see Table E2 in this article's Online Repository at www.jacionline.org), as described previously<sup>20</sup> (see the Methods section in this article's Online Repository).

#### In silico analyses of IL-21

In brief, molecular dynamics (MD) simulations of WT and mutant IL-21 were performed by using the coarse-gained model FREADDY<sup>24</sup> implemented in the MOIL<sup>24,25</sup> molecular modeling package with different degrees of flexibility. All simulations predicted the same qualitative behavior. For details, please see the Methods section in this article's Online Repository.

#### RESULTS

#### **Clinical characterization of the patient**

The index patient (currently 11 years of age) was born to healthy Turkish consanguineous parents (first-degree cousins). Two of 8 of the patient's siblings died of severe diarrhea before 1 year of age (2 months and 8 months, respectively). The index patient's disease is characterized by both early-onset IBD and a CVID-like immunodeficiency, as specified below.

**IBD.** The patient initially presented to the hospital at 2 months of age with persistent, mucoid, nonbloody diarrhea and recurrent oral aphthous ulcers. Additionally, he exhibited signs of chronic disease, such as fatigue and failure to thrive, with body weight being constantly less than the third percentile (not shown). He did not show any general skin lesions, perianal lesions, fistulas, uveitis, or signs of arthritis, respectively. During the following years, diarrhea persisted, and both malnutrition and finger clubbing became apparent. Results of microbiologic analyses in

feces for Cryptosporidium species, Giardia lamblia, rotavirus, and adenovirus were negative. Colonoscopy revealed macroscopically a loss of vascular pattern and mucosal erythema, as well as edema and aphthous ulcers (Fig 1, A). Biopsy specimens showed eosinophil and neutrophil infiltration, focal cryptitis, focal active colitis, and noncaseating granuloma, as seen in patients with typical Crohn disease (Fig 1, B). As a part of a local study protocol for patients with early-onset IBD, magnetic resonance cholangiopancreatography was performed, which did not reveal any abnormalities. The patient was evaluated for familial Mediterranean fever, therefore exons 2 and 10 of Mediterranean fever gene (MEFV) were sequenced, and a heterozygous carrier status of a common mutation (p. Met694Val) was detected. On the basis of colonoscopic and histologic findings, the patient was given a diagnosis of Crohn disease. Treatment with mesalazine, omega-3 fatty acids, and supplementary enteral feeding was initiated, but chronic diarrhea persisted. The patient, now 11 years of age, is still malnourished, with body weight persistently less than the third percentile (data not shown).

**Immunodeficiency.** At the age of 4 years, the patient was referred for assessment of a potential underlying immunodeficiency. Laboratory examinations revealed increased IgE levels (119 kU/mL), while IgG levels (338 mg/dL) and isohemagglutin levels were reduced (titer 1:2) (Table I). In retrospect, the patient experienced recurrent and severe upper and lower respiratory tract infections at an unusually high frequency from the age of 1 year onward. Not all criteria for CVID diagnosis were met as IgG was the sole type of reduced immunoglobulin (Table I), the patient was therefore given a diagnosis of a CVID-like immunodeficiency.<sup>26,27</sup> Intravenous immunoglobulin replacement together with prophylactic trimethoprim-sulfamethoxazole therapy was started. At present, the patient exhibits signs of severe chronic pulmonary infections, such as finger clubbing.

Detailed immunologic work-up. The patient was vaccinated according to general recommendations, receiving vaccinations against BCG, hepatitis B virus, diphtheriapertussis-tetanus-polio, and measles. Anti-hepatitis B virus surface antigen titers were found to be borderline low, and antibody titers against BCG were negative. He was also evaluated for autoantibodies, such as anti-neutrophil antibodies, antineutrophil cytoplasmic antibodies, thyroglobulin antibodies, and anti-gliadin IgA and IgG, and results were negative at 9 years of life. PCR-based evaluation for cytomegalovirus and human immunodeficiency virus was negative. CD40, CD40 ligand (CD40L), and forkhead box protein 3 (FOXP3) expression was evaluated by means of flow cytometry to exclude known hereditary immunodeficiency syndromes; results were found to be normal (data not shown). Cryptosporidium species in feces was absent at age 6 years and 9 years, respectively. Neutrophil oxidative burst assays at age 5 years to assess potential chronic granulomatous disease did not show any abnormalities (data not shown). Because of the severity and early onset of IBD in this patient, the IL10, IL10RA, and IL10RB genes were sequenced, but no mutation could be detected (data not shown).

#### Mutation identification in the IL21 gene

Given the consanguinity in the family and the occurrence of early-onset IBD in 3 of 8 siblings, a monogenetic defect with autosomal recessive inheritance was suspected (Fig 1, C). SNP array-based homozygosity mapping (see Tables E3 and E4 in this article's Online Repository at www.jacionline.org) and exome sequencing were then performed to identify the underlying genetic defect. Hits from exome sequencing were filtered for homozygous intervals present in the patient and validated by capillary sequencing (see the Methods and Results sections in this article's Online Repository at www.jacionline.org). A total of 2 hits which showed perfect segregation among the core family members were validated. No obvious role for the variant in ENPEP in the patient's disease could be detected (see the Results section in this article's Online Repository). The second hit, a homozygous mutation in IL21 (c.T147C, p.Leu49Pro), showed perfect segregation under the assumption of autosomal recessive inheritance with full penetrance and could not be detected in existing SNP databases, such as ENSEMBL, dbSNP, or UCSC (date of accession: December 30, 2013; Fig 1, C and D). In light of the marked IBD phenotype in all 3 affected subjects in this family, we intersected exome data from the patient with known SNPs predisposing for IBD (taken from http://www. genome.gov). However, no known SNPs in IL23R or NOD2 associated with IBD could be detected in the patient (data not shown).

The mutated residue IL-21<sup>Leu49</sup> is highly conserved throughout evolution (Fig 1, E). Prediction of the protein-folding stability of the IL-21<sup>Leu49Pro</sup> variant using the CUPSAT protein stability analysis tool<sup>25</sup> suggested that any change in IL-21<sup>Leu49</sup> would reduce the stability of the native state. Notably, the strongest destabilization of the native state of protein folding was predicted by changing IL-21<sup>Leu49</sup> to proline (see Table E5 in this article's Online Repository at www.jacionline.org). CUPSAT predictions were supported by using coarse-grained MD simulations of IL-21<sup>WT</sup> and IL-21<sup>Leu49Pro</sup>. In the simulation of IL-21<sup>WT</sup>, IL-21<sup>Leu49</sup> remains fully buried within the protein core, whereas the protein core of the IL-21<sup>Leu49Pro</sup> mutant is predicted to be unstable in the original orientation, undergoing a transition to the outer side of helix A into the gap between helices A and C (Fig 1, F) within 10 ns (Fig 1, G). This transition is accompanied by an extension of the  $\alpha$ -helical part of the helix A (the C-terminal end of the A is a 310 helix in the WT fold) and slight modification of the relative position of helices A and C (Fig 1, F). Because the crystal structure of IL-21 bound to the IL-21 receptor (IL-21R; PDB id 3TGX) demonstrates that helices A and C of IL-21 are critical for receptor binding and initiation of signaling of the Janus kinase–Stat signaling cascade,<sup>28</sup> the predicted conformational change caused by the  $IL21^{Leu49Pro}$  mutation most likely decreased the affinity of IL-21 binding to IL-21R.

#### Functional consequences of IL-21 deficiency

Assuming that the patient's disease is most likely caused by defective IL-21 function that impairs adaptive immunity,<sup>29,30</sup> a more detailed analysis of the patient's immunologic phenotype was performed. Compared with healthy control subjects, the patient had fewer circulating CD19<sup>+</sup> B cells and almost no CD19<sup>+</sup>CD27<sup>+</sup>IgD<sup>+</sup> marginal zone–like and CD19<sup>+</sup>CD27<sup>+</sup>IgD<sup>-</sup> class-switched memory B cells (Fig 2, *A*, and see Fig E1 in this article's Online Repository at www.jacionline.org), resulting in a relative increase in CD19<sup>+</sup>CD38<sup>hi</sup>CD23<sup>-</sup> transitional B-cell numbers (Fig 2, *B*). Accordingly, very few IgG<sup>+</sup> or IgA<sup>+</sup> cells were detected in





FIG 1. Identification of IL-21 deficiency. A, Colonoscopy. B, Histology consistent with Crohn disease.
C, Pedigree of index family. Solid portions of symbols mark IL-21<sup>Leu49Pro</sup> segregation pattern. D, Sanger chromatograms displaying the missense mutation in *IL21* in the patient (II-8) and a healthy sibling (II-6).
E, Amino acid sequence conservation of IL-21<sup>Leu49Pro</sup> among different species. F, Structure of mutant IL-21<sup>Leu49Pro</sup> (blue) overlapped with IL-21<sup>WT</sup> (brown). G, Orientation of residue 49 in simulations of WT (brown) and IL-21<sup>Leu49Pro</sup> (blue).

#### TABLE I. Laboratory data of the index patient

	5 y	6 y (IVIG)
Immunoglobulins		
IgG (mg/dL)	338	567
IgM (mg/dL)	96	84
IgA (mg/dL)	67	61
IgE (kU/mL)	119	
$IgG_1 (mg/dL)$	230	
$IgG_2 (mg/dL)$	60	
$IgG_3 (mg/dL)$	7	
$IgG_4 (mg/dL)$	6	
Complement		
CH50 (U/mL)	+	+
C3 (mg/dL)	0.992	
C4 (mg/dL)	0.674	
Antibodies		
Cryptosporidium species	Negative	
Giardia lamblia	Negative	
Rotavirus	Negative	
Adenovirus	Negative	
Cytomegalovirus	Negative	
HIV	Negative	
Isohemagglutinin anti-B	1/2	
BCG + PPD		Negative
Anti-HBs	Positive	Positive
ANCA		Negative
ANA		Negative
		C

ANA, Antinuclear antibody; ANCA, antineutrophil cytoplasmic antibody; IVIG, intravenous immunoglobulin; HBs, hepatitis B virus surface antigen.

peripheral blood of the patient compared with control values (Fig 2, *C*). Analysis of T-cell subsets revealed normal relative proportions of CD3<sup>+</sup>CD4<sup>+</sup> T<sub>H</sub> cell and CD3<sup>+</sup>CD8<sup>+</sup> cytotoxic T-cell subsets (data not shown) but an increase in CD3<sup>+</sup> TCR $\gamma\delta$  cell numbers (see Fig E2 in this article's Online Repository at www.jacionline.org). The cell receptor V $\beta$  repertoire was found to be polyclonal (see Fig E3 in this article's Online Repository at www.jacionline.org), and cell proliferation induced by various stimuli was found to be normal (Fig 2, *D*, left panel), except for tetanus toxoid stimulation, despite the fact that the patient has a history of tetanus toxoid vaccinations (Fig 2, *D*, right panel).

# IL-21<sup>Leu49Pro</sup> does not induce IL-21R signaling, proliferation, and activation of B cells

The effects of Leu49Pro on the activity of the cytokine were analyzed by comparing *in vitro* B- and T-cell responses induced by equal amounts of recombinant mutant (IL-21<sup>Leu49Pro</sup>) or WT (IL-21<sup>WT</sup>) protein produced in HEK-EBNA cells (see Fig E4 in this article's Online Repository at www.jacionline.org). A Jurkat T-cell line was used to test STAT3 phosphorylation to exclude donor-dependent differences in T-cell responses. Stimulation with either commercially available recombinant IL-21 (IL-21<sup>rec</sup>) or IL-21<sup>WT</sup> phosphorylated the STAT3 tyrosine residue 705, whereas IL-21<sup>Leu49Pro</sup> did not induce STAT3 phosphorylation at this position (Fig 3, *A*). Differences between IL-21<sup>WT</sup> and IL-21<sup>Leu49Pro</sup> in activating B cells were tested on normal donor cord blood–derived B cells.

Proliferation of total CD19<sup>+</sup> (Fig 3, *B*) and transitional cord blood–derived CD19<sup>+</sup> (Fig 3, *C*) B cells, as well as proliferation of memory and plasmablast subsets (see Fig E5 in this article's

Online Repository at www.jacionline.org), were strongly decreased on activation with mutant IL-21<sup>Leu49Pro</sup>.

# IL-21–deficient B cells can be activated and undergo class-switch recombination

PBMCs were stimulated for 3 days with CD40L and IL-21 or alternatively with CD40L and IL-4 and tested for the expression of the activation markers CD69, CD86, and CD95 and class-switch recombination indicated by surface expression of IgG and IgA, respectively, to exclude intrinsic defects affecting the patient's B cells.

As expected, the expression pattern of the activation markers was normal in the B cells of the patient (Fig 4, A). Similar to control B cells, they proliferated and differentiated into  $IgG^+/IgA^+$  cells in response to IL-21, strongly suggesting that the patient's B cells have an intact capacity to develop into plasma cells and memory B cells in response to IL-21R signaling (Fig 4, *B*).

#### DISCUSSION

Combining homozygosity mapping with exome sequencing, we uncovered IL-21 deficiency as a novel monogenetic cause of severe, early-onset IBD associated with a CVID-like primary immunodeficiency.

IL-21 belongs to the type I cytokine family and acts on many cells of the hematopoietic system.<sup>31</sup> IL-21 is predominantly produced by antigen-activated CD4<sup>+</sup> T cells and activated B-helper neutrophils.<sup>32</sup> B-helper neutrophils secrete IL-21 to promote T cell–independent B-cell responses against microbes.<sup>32</sup> Therefore our finding that IL-21 deficiency causes early-onset IBD suggests that IL-21 plays a critical role in the cooperation between the innate and adaptive immunity in the gut.

IL-21R is expressed by T, B, and natural killer (NK) cells, subsets of myeloid cells, and keratinocytes.33-36 IL-21 binding to IL-21R Therefore induces primarily STAT1 and STAT3 phosphorylation.<sup>30</sup> it differs from other type I cytokines, such as IL-2, IL-7, IL-9, and IL-15, because these cytokines induce STAT3 and STAT5 activation, whereas IL-4 activates STAT6.<sup>37,38</sup> IL-21 does not seem to influence  $T_H1$  or  $T_H2$  polarization in CD4<sup>+</sup> T cells,<sup>3</sup> but it appears to be critical for the proliferation and activation of both naive and memory CD8<sup>+</sup> T cells.<sup>40</sup> In NK cells, IL-21 contributes to phenotypic and functional maturation by inducing the expression of killer immunoglobulin-like receptors, perforin expression, and IFN- $\gamma$  secretion.<sup>41,42</sup> In CD40L-activated B cells, IL-21 strongly promotes proliferation, immunoglobulin class-switch recombination, and immunoglobulin secretion<sup>39,43</sup> while inhibiting the IL-4-driven induction of germline transcripts from the IgE constant region.<sup>44,45</sup> Accordingly,  $Il21r^{-/-}$  knockout mice show dysgammaglobulinemia characterized by low IgG1 but higher IgE levels.<sup>39</sup> In human subjects IL-21R deficiency has recently been reported to result in primary immunodeficiency characterized by defective B-cell class-switching, aberrant T-cell cytokine production, and NK cell cytotoxicity.46

Surprisingly, in contrast to the patients reported with IL-21R deficiency, the IL-21–deficient patient described here had earlyonset IBD, which masked primary immunodeficiency found at a later age. In addition, 2 of the patient's siblings died of IBD within the first year of life, underlining the consistency of early-onset IBD presentation in this pedigree. Furthermore, to date, the patient has



**FIG 2.** Immunologic phenotype of the patient. **A** and **B**, Proportion of total B cells and  $IgD^+CD27^+$  and  $IgD^-CD27^+$  memory B cells in peripheral blood from the patient (Fig 2, *A*, *upper panel*) and transitional B cells (Fig 2, *B*) compared with a healthy donor. **C**, Percentage of  $IgG^+$  and  $IgA^+$  class-switched cells in peripheral blood from patients and control subjects. **D**, T-cell proliferation: stimulation for 3 *(left panel)* and 7 *(right panel)* days. *Iono*, Ionomycin; *PMA*, phorbol 12-myristate 13-acetate; *PPD*, purified protein derivative; *SEA*, staphylococcal enterotoxin A; *SEB*, staphylococcal enterotoxin; *TT*, tetanus toxoid.

not shown any signs of cholangitis and was negative for *Cryptosporidium* species infection, one of the most striking observations in 3 of 4 of the described IL-21R–deficient patients.<sup>46</sup> However, 2 of the 4 patients with IL-21R deficiency also presented with diarrhea, which was possibly attributed to *Cryptosporidium* species infection and therefore not thought to be a primary cause of defective IL-21R–dependent signaling. *Cryptosporidium* species infections are common in patients with combined immuno-deficiencies<sup>47</sup> and may have altered the clinical features of the immunodeficiency in the reported IL-21R–deficient patients. In addition, we cannot exclude underlying differences in the gut microbiota, which are essential nongenetic players in the development of IBD.<sup>48,49</sup> Future studies will need to address the complex interplay of the microbiome on the course of monogenetically determined immunodeficiency disorders.

The primary immunodeficiency caused by mutation in the *IL21* gene was characterized by reduced relative numbers of B cells and dramatically reduced class-switched memory B-cell populations,

increased IgE levels, and hypogammaglobulinemia in peripheral blood. These findings are in line with the observations from murine models<sup>50</sup> and human IL-21R deficiency.<sup>46</sup> Although proliferation of IL-21<sup>Leu49Pro</sup>–expressing T cells appeared normal on stimulation with several common stimuli, stimulation with specific agents, such as tetanus toxoid, illustrated specific defects in T-cell proliferation, thus recapitulating the findings described for IL-21R deficiency.<sup>46</sup>

Interestingly, approximately 20% of patients with CVID have autoimmunity, which often results in colitis and is associated with severely increased morbidity.<sup>14</sup> It has been hypothesized that IL-21 has immunosuppressive activities by inducing IL-10 secretion.<sup>51</sup> Similar to IL-10 or IL-10 receptor deficiency, IBD in the IL-21–deficient patient manifested in the first year of life and was characterized by a severe phenotype.<sup>6,7,12,52</sup> It has been shown previously that synergistic stimulation of bone marrow– or spleen-derived NK cells with IL-21 and IL-2 or IL-15 leads to increased IL-10 secretion, suggesting that the IBD might be



**FIG 3.** Functional consequences of IL-21 deficiency. **A**, Western blot of phospho-STAT3 in Jurkat cells after stimulation with IL-21<sup>WT</sup>, IL-21<sup>Leu49Pro</sup>, and commercially available IL-21 (IL-21<sup>rec</sup>). *GAPDH*, Glyceraldehyde-3-phosphate dehydrogenase. **B**, Flow cytometry-based proliferation analysis of carboxyfluorescein succinimidyl ester-labeled cord blood-derived CD19<sup>+</sup> B cells after stimulation with IL-21<sup>WT</sup> (*open circles*) and IL-21<sup>Leu49Pro</sup> (*solid circles*). **C**, IL-21-dependent proliferation of cord blood-derived B cells 6 days after stimulation with IL-21<sup>WT</sup> (*open circles*) or IL-21<sup>Leu49Pro</sup> (*solid circles*).



**FIG 4.** Normal activation and class-switch recombination potential of IL-21-deficient B cells. **A**, Flow cytometric analysis of PBMCs from control subjects and patients stimulated with either CD40L and IL-21 *(upper panel)* or CD40L and IL-4 *(lower panel)*. CD19<sup>+</sup> B cells were stained for the activation markers CD69, CD86, and CD95 after 3 days of stimulation. **B**, Capacity of CD19<sup>+</sup> B cells from control subjects and patients to undergo class-switch recombination into  $IgG^+$  and  $IgA^+$  cells after 6 days of stimulation.

due to decreased IL-10 secretion caused by IL-21 deficiency.<sup>37</sup> Nevertheless, the molecular mechanisms of how IL-21 deficiency leads to gut inflammation remain elusive.

IL-21 has emerged as a critical cytokine regulating multiple arms of the immune system (reviewed by Leonard and Spolski<sup>30</sup>). More recently, interfering with IL-21 signaling has been proposed

as a treatment option for patients with various autoimmune diseases, including systemic lupus erythematosus<sup>53</sup> and rheumatoid diseases.<sup>54,55</sup> However, our observation that lack of functional IL-21 is associated with an immunodeficiency with considerable morbidity raises an important concern for such therapeutic strategies.

In principle, IL-21 deficiency might be amenable to allogeneic hematopoietic stem cell transplantation to correct for the disease<sup>6,52</sup>; however, given the currently stable clinical condition, this has not been performed in the patient to date but remains an option for the future. In contrast to IL-21R deficiency, treatment with recombinant IL-21 might represent an alternative experimental strategy for treatment of IL-21 deficiency in a similar manner, as has been proposed for metastatic cancer, including metastatic melanoma<sup>56</sup> and renal cell carcinoma.<sup>57-59</sup> This might be particularly attractive for scenarios in which the patient's clinical status is incompatible with allogeneic hematopoietic cell transplantation.

In conclusion, here we identify deficiency of IL-21 as a novel cause of primary immunodeficiency and early-onset IBD, thereby further underlining the critical importance of tight control of immune homeostasis for inflammatory processes in the gut. Future studies will show whether targeting the affected pathways is a therapeutic option for IL-21 deficiency and related disorders.

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Clinical implications: IL-21 deficiency is a novel cause of earlyonset IBD and a CVID-like primary immunodeficiency. The observation that lack of IL-21 is associated with an immunodeficiency raises an important concern for therapeutic strategies interfering with IL-21 in patients with immune-mediated diseases.

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#### **METHODS DNA** isolation

Genomic DNA was isolated from whole blood by using a commercially available kit (Wizard Genomic DNA Purification Kit; Promega, Vienna, Austria), according to the manufacturer's instructions.

#### Sequencing of IL10, IL10RA, and IL10RB

Sanger sequencing of IL10, IL10RA, and IL10RB was performed according to standard methods. Primers are listed in Table E1. Primers marked with a footnote were described previously in Glocker et al.<sup>E1</sup>

#### Homozygosity mapping

SNP-based homozygosity mapping with Affymetrix 6.0 arrays (Affymetrix, Santa Clara, Calif) was performed on genomic DNA from the index patient to map homozygous intervals, as described previously, according to the Affymetrix Genome-Wide Human SNP Nsp/Sty  $6.0\ \rm protocol.^{E2}$  In brief, 10 µL of 50 ng/µL DNA was digested with restriction enzymes and ligated to adaptors. The adaptor-ligated DNA fragments were amplified with a generic primer recognizing the adaptor sequences. The amplified DNA was fragmented, labeled, and hybridized to the Affymetrix Genome-Wide Human SNP 6.0 Array. Results were analyzed with Affymetrix Genotyping Console software.

Homozygosity Mapper (www.homozygositymapper.org/) and  $\ensuremath{\text{PLINK}^{\text{E3}}}$ whole-genome data analysis toolset with a window size of 5000 bp (a minimum of 50 SNPs within this region) were used to detect homozygous regions.

#### Exome sequencing

Sample preparation was done with the Illumina TruSeq DNA Sample Preparation Guide and the Illumina TruSeq Exome Enrichment Guide version 3 (Illumina, San Diego, Calif). The genomic DNA (1 µg) was sheared to fragments of 200 to 300 bp. Blunt ending, adenylation, and adapter ligation to allow the fragments to hybridize onto the flow cell were carried out. Subsequently, exons of the DNA fragments were enriched, and clusters were generated with the Illumina cBot Cluster Generation System according to the TruSeq PE Cluster Kit version 3 Reagent Preparation Guide. The DNA fragment clusters ran in a multiplexed pool with 5 other samples distributed on 3 lanes of the flow cell.

Data analysis was performed as previously described.  $^{\rm E2}$  In brief, reads were aligned by using Burrows-Wheeler Aligner to the human genome 19. Insertion/deletion realignment was performed, as was Genome Analysis Toolkit (GATK version 1.4)-based quality score recalibration. For single nucleotide variant (SNV) and deletion/insertion variant calling, Unified Genotyper and GATK Variant quality score recalibration were performed. SNV and deletion/insertion variant lists were uploaded to the SeattleSeq Annotation database (accessed January 2012). Variants present in 1000 Genomes and dbSNP build 135 were excluded, and the lists were filtered for nonsense, missense, and splice-site variants present within the homozygous regions only found in the patient.

#### Variant validation

Validation of the variants found on the final list of hits was performed by using capillary sequencing on genomic DNA from the patient. The primers for each position were designed with PrimerZ (http://genepipe.ngc.sinica.edu.tw/ primerz/), excluding 60 bp adjacent to the target position and with the product size ranging between 100 and 500 bp. All primers were purchased from Sigma-Aldrich.

PCR amplification of the detected variants was performed with the Expand High Fidelity PCR System (Roche, Basel, Switzerland). Capillary sequencing was carried out with the Big Dye Terminator version 3.1 Cycle Sequencing Kit (Applied Biosystems, Darmstadt, Germany), and the analysis took place on a 3130xl Genetic Analyzer (Applied Biosystems). Sequencher DNA Software version 4.10.1 (Gene Codes, Ann Arbor, Mich) was used for sequence analysis. Segregation analysis of the validated hits was performed in the parents and healthy siblings.

#### **T-cell CDR3 V**β spectratyping

TCR VB spectratyping was performed according to the method of Pannetier et al.<sup>E4</sup> The primers used were described previously,<sup>E5</sup> with the exceptions indicated in Table E2.

Sequences were acquired with an ABI 3130x1 Sequencer (Applied Biosystems) and analyzed with ABI GeneMapper software, version 4.0.

#### RESULTS

#### Homozygosity mapping

Homozygous intervals detected in the patient with Homozygosity Mapper (Table E3) and PLINK (Table E4). The interval on chromosome 4 in which IL21 was detected (gray shading) was found with both methods.

#### Exome sequencing

Filtering of exome sequencing data for unknown missense, nonsense, or splice-site variants present within the homozygous regions found in the patient revealed 23 SNVs, of which 10 could be validated by using capillary sequencing. For hits in LOC100132767 (chromosome 16: 29606607 G $\rightarrow$ R), CNTNAP4 (chromosome 16: 76311602 G $\rightarrow$ T), and C17orf100 (chromosome 17: 6555546 C $\rightarrow$ G), no unique sequences could be obtained (all positions refer to hg19). Under the assumption of an autosomal recessive trait with full penetrance, perfect segregation with the disease was found for the variant in *IL21*, which is discussed in detail in the main article, as well as in the gene ENPEP encoding aminopeptidase A (BP-1/6C3; chromosome 4: 111431495 C $\rightarrow$ A). The detected variant in ENPEP results in an amino acid change from alanine to glutamine at position 430 of the protein. Although it had previously been hypothesized that ENPEP might play a role in early B-cell development,<sup>E6</sup> the corresponding knockout mouse showed normal T- and B-cell numbers, displayed normal T cell-dependent and T cell-independent antibodies, and had normal serum immunoglobulin levels.<sup>E7</sup> Therefore this variant was not further considered to be of pathogenic relevance to the patient's disease.

#### Modeling of IL21

In silico modeling of the variant detected in IL21 was done by using http://cupsat.tu-bs.de/jsp/nmrpredict.jsp. This tool enables the prediction of an amino acid change at a certain position of the protein. Of all possible amino acid changes, the exchange to proline, which was detected in the patient, was predicted to be the most unfavorable (gray shading in Table E3).

To obtain a qualitative hypothesis about the difference in protein structure and dynamics of the IL-21 variants, we performed MD simulations of IL-21<sup>WT</sup> and IL-21<sup>Leu49Pro</sup>. Simulations were performed with the coarse-grained model FREADY<sup>E8</sup> implemented in the MOIL<sup>E9,E10</sup> molecular modeling package. We initiated the MD simulations from the NMR structure of IL-21 (PDB id 2OQP)<sup>E11</sup> and let it run for 50 ns at 300 K. Simulations were performed with different degree of flexibility for the protein, ranging from fully free MD simulations to simulations in which backbone dihedral angles of residues further than a flexibility cutoff from residue 49 were constrained to remain similar to the NMR structure. The flexibility cutoff was varied from 5 to 9 Å. All simulations over a range of flexibility cutoffs, including the fully free simulation, resulted in the same qualitative behavior. Results displayed in Fig 1 consider simulations with a flexibility cutoff of 9 Å. At this cutoff value, the overall folding of IL-21 is highly preserved throughout both simulations (backbone Root-mean-square deviation [RMSD] of WT simulation, approximately 3 Å; backbone RMSD of mutant stimulation, approximately 4 Å; templatemodeling [TM] scores,  $^{E12} \leq 0.93$  and 0.9, respectively), and the local conformational transition at the mutation point is clearly observable (Fig 1, *F*). Protein structures were aligned and visualized with the UCSF Chimera tool.  $^{E13}$ 

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**FIG E1.** Flow cytometric analysis gated on CD19<sup>+</sup> cells displaying decreased lgM<sup>+</sup>CD27<sup>+</sup> non–class-switched memory B cells in the patient compared with a healthy shipment control, as well as a healthy donor (*top*) and increased immature early transitional CD38<sup>+</sup>CD10<sup>+</sup> B cells (*bottom*).



FIG E2. Flow cytometric analysis displaying increased proportion of TCR $\gamma\delta^+CD3^+$  cells. SSC, Side scatter.


FIG E3. TCR V $\beta$  spectratyping analysis. Analysis of the patient shows a normal polyclonal use of the TCR V $\beta$  repertoire.



**FIG E4.** IL-21 production by HEK293 cells. **A**, Flow cytometric analysis of IL-21R expression on Jurkat cells. **B**, Western blot analysis of supernatant of IL-21–producing HEK293 cells blotted for the His-tag attached to both with IL-21<sup>Leu49Pro</sup> and IL-21<sup>WT</sup>.



**FIG E5.** Proliferation capacity of the cord blood–derived plasmablast and memory B-cell populations in response to WT (*open circles*) or mutant (*solid circles*) IL-21. Data were gated on CD19<sup>+</sup> cells and analyzed for expression of either CD38 and CD27 (**A**) or CD38 and CD138 (**B**).

#### TABLE E1. Primer sequences

Gene exon	Orientation	Sequence (5'-3')
<i>IL10</i> exon 1	F	GCCGGGAAACCTTGATTG
	R	GGAATAGGTGTTGGGGGATGG
<i>IL10</i> exon 2	F	TCCCATCACTGTTGAATCCTC
	R	GACTGAGCCCTTTGTAAACCC
<i>IL10</i> exon 3	F	ATCGCTAGAACCAAGCTGTCC
	R	GTGTCTTTGCTGTGTGTCTGTGG
<i>IL10</i> exon 4	F	ACCAGCTTGTCCCCTAAGTG
	R	GAATGGGGCCTATTGAGTCC
IL10 exon 5.1	F	CATGAGCATGAGGGAGGG
	R	TCCGAGACACTGGAAGGTG
IL10 exon 5.2	F	ATCTTGTCTCTGGGCTTGGG
	R	TGCAGAATTCATTCACCCAC
IL10 exon 5.3	F	CCTAAATTTGGTTCTAGGCCG
	R	GGCTTCCTTTCTCTGAAATGC
IL10 exon 5.4	F	TGGATCACTTGAGGTCAGGA
	R	CCTGGGGGTAGGGGGGTAG
IL10RA exon 1*	F*	GACAGTGGTTCCCCGTCC*
	R*	CACTGGATGGAGAACTTTAATGG*
IL10RA exon 2*	F*	GAACCTCCCTTTCTTCTTTGG*
	R*	AGGCAGGTATCTTCCCATGC*
IL10RA exon 3*	F*	GGCCTCTTGCGTCTCCC*
	R*	GCAGACATGGTGAGCTATGG*
IL10RA exon 4*	F*	ATTCTGGAGGCAAAGTCTCG*
	R*	AGTTCCCAATGGCACACAAG*
IL10RA exon 5*	F*	CTAAAGGCCCACCAGCTCTC*
	R*	ACGCGTTTTGGATTGCAC*
IL10RA exon 6*	F*	AATGGATTTCATGGGACCAG*
	R*	ACTGGCTGGGAGGAAAAGAG*
IL10RA exon 7.1*	F*	CGAGCTCTCCTCCTGGG*
	R*	CCTCAGGTAACCCTGGAATG*
IL10RA exon 7.2*	F*	TGACAGTGGCATTGACTTAGTTC*
	R*	GTCCAGGCAGAGGAGCAG*
IL10RA exon 7.3*	F*	CCTGGGCAGCTTTAACTCAG*
	R*	AGGTTCCCCATGTGACCATC*
IL10RA exon 7.4*	F*	GCTGAAGTCAGCTCAGACCC*
	R*	CAGTGCCCAGTGGCTTATC*
IL10RA exon 7.5	F	TGGTCATAACTCAGCCCTTTG
	R	GTCATGGCTGGATTCCCTG
IL10RA exon 7.6	F	AACAAAGGCAGTTCAGTCCAC
	R	AACCGAGTCCTCCATGAGC
IL10RB exon 1*	F*	AGGGTAAAGAAGACCCTCAAA*
	R*	CCTAGTTGCGTCTCAGCAG*
IL10RB exon 2*	F*	AGCCATAGAGGAGAACCAAGT*
	R*	ACCTAGAGATGACAGCAGTGG*
IL10RB exon 3	F	TTAACACAGTTTCCACTCCCG
	R	AAGGCCATCCATTTGTGG
IL10RB exon 4	F	TCCGTGGACTAATTGTTCTGC
	R	AGTCCATAAGGTGCTGCCAC
IL10RB exon 5	F	CCCTGAACTGAGAGGAGCAC
	R	TGAATGAGCTGCCTCAGAAG
IL10RB exon 6*	F*	GGATTGTGATGGTTAAAATGC*
	R*	CCCTTTTACAAATAGCCTTCC*
IL10RB exon 7.1*	F*	ATAGATTTTCCAGCCAGGAGT*
	R*	GCCCTGTTTCTCACAATTAAA*
IL10RB exon 7.2	F	CACATCTAGAACTCCCAGACCC
	R	TCACTTTGTCACCCAGGC
IL10RB exon 7.3	F	GATGGCGCATGCCTATAATC
	R	TGGACATCAAGATGGCAAAC

F, Forward; R, reverse.

\*Primers according to Glocker et al.<sup>E1</sup>

TABLE E2. Newly designed primers for TCR V $\beta$  spectratyping

Primer name	Sequences		
Primers for variable regions			
BV02	ACATACGAGCAAGGCGTCGA		
BV04	CATCAGCCGCCCAAACCTAA		
BV07	CAAGTCGCTTCTCACCTGAATGC		
BV17	TGTGACATCGGCCCAAAAGAA		
BV21	GGAGTAGACTCCACTCTAAG		
BV24	CCCAGTTTGGAAAGCCAGTGACCC		
Primers for constant regions			
CβB1 (used for BV05, BV06BC, BV20)	CGGGCTGCTCCTTGAGGGGCTGCG		
FAM-marked constant primer	ACACAGCGACCTCGGGTGGG		

**TABLE E3.** Homozygous intervals detected in the index patient(II-8) using Homozygosity Mapper software

Chromosome	Start	End	SNP start	SNP end
4	83395044	88826972	rs7664077	rs2009152
4	90025980	96699508	rs7691983	rs10014317
4	96719160	109475974	rs11930626	rs6835794
4	109481858	118949490	rs7666063	rs2389493
4*	118949878*	127531669*	rs2254215*	rs313142*
4	127534757	146750966	rs313139	rs13149290
5	2757959	4885009	rs1391124	rs3913374
5	163400151	167294665	rs11135295	rs13175544
5	167303317	169376419	rs1862198	rs259917
5	169516018	173192744	rs10073750	rs791346
16	27166296	54177001	rs16976570	rs2689265
16	70928314	75081551	rs1774433	rs4243109
16	76351875	78526427	rs11864692	rs8055841
16	78527004	80747410	rs2738716	rs3100179
16	80754387	84729485	rs4580175	rs7193019
17	410451	10054798	rs9748016	rs1024370
17	11245099	13841519	rs16944600	rs4792419

\*Interval which contains IL21.

#### **TABLE E4.** Homozygous intervals detected in the index patient (II-8) by using PLINK

Chromosome	Start	End	SNP start	SNP end
1	49222528	50674403	SNP_A-2011849	SNP A-8467861
2	72347041	74123827	SNP A-2313026	SNP A-8687775
2	184851796	185944619	SNP A-2237199	SNP A-8537410
2	189306009	190951583	SNP A-2053595	SNP A-8472550
3	41234516	42417982	AFFX-SNP 5800238	SNP A-2263719
3	96053560	97183817	SNP A-1884420	SNP A-8495492
4	1052009	2647131	SNP A-8420001	SNP A-8512601
4	2674271	4333327	SNP A-2168327	SNP A-8684751
4	33409962	34724345	SNP A-8629522	SNP A-1976751
4	83403068	88824216	SNP A-8389116	SNP A-1977976
4	88859131	90003726	SNP A-8588059	SNP A-8458421
4	9003/030	98611720	SNP 4-8621918	SNP A-8561152
4	98665087	109472301	SNP A-8680070	SNP A-8687642
4	100483856	113784482	SND A 1847528	SND A 8371160
4	113811255	11672659/	SNP 4-8386473	SNP 4-2252660
T /*	116720202*	127525002*	SND A 8648720*	SND A 8445435*
4	127530506	120888651	SND A 8662340	SND A 8630365
4	127559500	1/2021835	SND A 4272521	SND A 8662873
4	142066626	142021833	SND A 1070175	SND A 1022018
5	26244	2728455	SNF_A-1979175	SNF_A-1952018 SND A 4272204
5	2642021	4910019	SNF_A-0392711	SNF_A-4273394
5	44710866	4619918	SNF_A-8004849	SNF_A-1097801
5	44/19800	43686304	SNP_A-6004329	SNP_A-4282507
5	07874708	38101043	SNP_A-1034019	SNP_A-0590507
5 E	97874728	99039123	SNP_A-800/025	SNP_A-2274520
5	163392787	167290428	SNP_A-8/08191	SNP_A-2272719
5	167309980	169374909	SNP_A-1904490	SNP_A-219/40/
5	1/02/4844	1/295/916	SNP_A-2260629	SNP_A-1895904
6	26091336	2/189517	SNP_A-4273092	SNP_A-2258495
7	62550165	63692343	SNP_A-8346354	SNP_A-8330375
1	123016059	124061924	SNP_A-4266575	SNP_A-8520938
9	133988447	137109245	SNP_A-8379710	SNP_A-1908201
9	138059244	139666473	SNP_A-8496411	SNP_A-8690526
9	139839904	1410/14/5	SNP_A-8477786	SNP_A-8302801
10	22320193	23336057	SNP_A-8553/30	SNP_A-8349587
10	68522925	69871319	SNP_A-2304575	SNP_A-4275777
12	37857751	38957140	SNP_A-2298756	SNP_A-1914010
12	88317831	89373308	SNP_A-4268475	SNP_A-8471938
14	24239341	26640934	SNP_A-2037635	SNP_A-1807016
14	27450705	28791689	SNP_A-8623427	SNP_A-8319810
14	90646020	92838444	SNP_A-8646350	SNP_A-2246935
15	42335477	43395847	SNP_A-2004120	SNP_A-2130382
15	72094856	73124491	SNP_A-8685433	SNP_A-2276311
16	27842014	35205717	SNP_A-8582579	SNP_A-8595671
16	46534977	54175313	SNP_A-8350504	SNP_A-8413639
16	54355601	55425383	SNP_A-8319132	SNP_A-8390684
16	55442987	57154547	SNP_A-8424883	SNP_A-2279806
16	67040335	68326200	SNP_A-1812292	SNP_A-1806333
16	69534688	70836370	SNP_A-4244436	SNP_A-2004827
16	71103393	75046184	SNP_A-8610073	SNP_A-8364418
16	76355255	78517027	SNP_A-8560561	SNP_A-1806599
16	78527065	82919690	SNP_A-4192918	SNP_A-2027051
16	83379737	84730830	SNP_A-8401789	SNP_A-8280151
17	6689	3205886	SNP_A-8398136	SNP_A-2284710
17	3210368	5000130	SNP_A-4196849	SNP_A-8299342
17	5133734	11236566	SNP_A-8287174	SNP_A-2224114
17	11259037	13837051	SNP_A-4202679	SNP_A-1945664
17	19442146	20554628	SNP_A-8344319	SNP_A-8546621
17	28687196	29796197	SNP_A-1816266	SNP_A-8616647
20	32773809	33831187	SNP_A-1941912	SNP_A-8383932
				_

\*Interval which contains IL21.

Amino acid	Overall stability	Torsion	Predicted $\Delta\Delta G$ (kcal/mol)
GLY	Destabilizing	Unfavorable	-2.51
ALA	Destabilizing	Unfavorable	-1.92
VAL	Destabilizing	Favorable	-1.01
ILE	Destabilizing	Favorable	-1
MET	Destabilizing	Unfavorable	-1.56
PRO	Destabilizing	Unfavorable	-3.92
TRP	Destabilizing	Unfavorable	-1.53
SER	Destabilizing	Unfavorable	-1.78
THR	Destabilizing	Favorable	-1.41
PHE	Destabilizing	Favorable	-1.43
GLN	Destabilizing	Unfavorable	-1.64
LYS	Destabilizing	Unfavorable	-1.65
TYR	Destabilizing	Favorable	-1.44
ASN	Destabilizing	Unfavorable	-1.69
CYS	Destabilizing	Favorable	-1.44
GLU	Destabilizing	Unfavorable	-1.72
ASP	Destabilizing	Unfavorable	-1.8
ARG	Destabilizing	Unfavorable	-1.6
HIS	Destabilizing	Unfavorable	-1.51

**TABLE E5.** Prediction and effect of an amino acid change inIL-21 at position Leu49

### 4. Discussion

In the following chapters afore mentioned discoveries are described and discussed in more detail, referring to the basic biology background of the discovered diseases followed by discussing novel findings and placing them into context of prior knowledge from the literature.

# 4.1. Combined immunodeficiency with life threatening EBVassociated lymphoproliferative disorder in patients lacking functional CD27.

## 4.1.1. CD27 biology

CD27 is expressed on early thymocytes, naïve CD4 and CD8 cells (Nolte et al, 2009) and is commonly used as a marker for memory B cells (Klein et al, 1998). CD27 is a member of the TNF receptor (TNFR) superfamily and is known to interact with its unique ligand, CD70, a membrane-bound homotrimeric type II membrane protein (Lens et al, 1998). Binding of CD70 induces CD27 trimerization and thus initiation of intracellular signaling (Nolte et al, 2009). Moreover, it has been shown that upon binding of CD70, a truncated form of CD27 is released possibly cleaved by a membrane-linked protease (Loenen et al, 1992). Interestingly, increased levels of soluble CD27 have been found in patients with autoimmune diseases or viral infections (Lens et al, 1998).

On the other hand, CD27 activation induces intracellular signaling via TNFRassociated factor (TRAF) 2 and 5, which both get ubiquitinated after CD70 binding (Nolte et al, 2009). Consequently, both canonical and non-canonical NFkB pathways get activated (Ramakrishnan et al, 2004). However, it has been shown that the c-Jun terminal kinase (JNK)-signaling cascade (Akiba et al, 1998; Gravestein et al, 1998) as well as intracellular mediators of apoptosis (Prasad et al, 1997; Spinicelli et al, 2002) get activated upon CD70 binding (Nolte et al, 2009). In a mouse model it has been demonstrated that stimulation with an agonistic CD27 antibody induces proliferation and differentiation of T and B cells. Moreover, CD27 signaling seems to result in induction of TH1 differentiation in mice (Nolte et al, 2009).

# 4.1.2. <u>CD27 deficiency in the context of other PIDs with</u> lymphoproliferation

The first description of CD27 deficiency consisted of two brothers of a consanguineous Morrocan family who presented with EBV-associated immunodeficiency and lack of expression of CD27 on memory B cells. Capillary sequencing of *CD27* revealed a homozygous stopgain mutation (p.W8X) (van Montfrans et al, 2012). One patient presented with aplastic anemia and died of gram-positive sepsis. The other patient exhibited hypogammaglobulinemia with impaired specific antibody production. Both patients however showed lymphadenopathy, hepatosplenomegaly and lacked seroconversion for EBV-nuclear antigen (van Montfrans et al, 2012).

In our 8 patients described, the phenotype varied from asymptomatic borderline hypogammaglobulinemia to EBV-LPD, with progression to T and B cell lymphomas (Salzer et al, 2013). These clinical features resemble other syndromes with increased susceptibility to EBV-LPD such as, among others, ITK deficiency (Huck et al, 2009), XIAP- (Rigaud et al, 2006) and SAP deficiency (Coffey et al, 1998). Interestingly, in all of these diseases including CD27 deficiency patients exhibit severely reduced to absent numbers of iNKT cells during active EBV-LPD (Ghosh et al, 2014). Therefore a critical role for iNKT cells has been suggested in controlling EBV infections (Chung et al, 2013). Recently our lab published a patient with ITK deficiency diagnosed prior to EBV infection and without LPD, who presented with CD4 lymphopenia and showed absence of iNKT cells, indicating the necessity of ITK for iNKT cell development (Serwas et al, 2014). Nevertheless, how and at which point during development CD27 influences iNKT cells remains to be clarified.

Interestingly, all 8 patients showed the exact same genetic mutation but presented high variability in the clinical presentation as well as spectrum of diseases. Clinical presentation of CD27 deficiency ranged from asymptomatic hypogammaglobulinemia to the development of both T and B cell malignancies (Salzer et al, 2013). As already discussed in the manuscript, it is hypothesized that "timing and tuning" of co-stimulatory signals in the course of the infection may be crucial to shape the immune response towards control or lymphoproliferation (Nolte et al, 2009). However, the precise mechanism by which CD27 facilitates EBV-LPD remains to be discovered.

# 4.2. B cell deficiency and severe autoimmunity caused by deficiency of protein kinase C $\delta$ .

#### 4.2.1. PKCδ biology

The protein kinase C (PKC) family of serine/threonine kinases executes key roles in a plethora of cellular processes, including cell proliferation, apoptosis, and differentiation (Wu-Zhang et al, 2012). The PKC family can be divided in 3 subfamilies: conventional PKCs (cPKC), novel PKCs (nPKC) and atypical PKCs (aPKC) (Wu-Zhang et al, 2012).

PKCo is a 78kDa protein with 676 amino acids, belongs to the novel PKC and is а calcium-independent, phospholipid-dependent, group serine/threonine kinase. The protein consists of a regulatory and a catalytic domain. PKC $\delta$  also contains five variable regions (V), where the variable region 3 (V3) acts as a hinge region between catalytic and regulatory domains. The C1 motif contains DAG (diacylglycerol)/PMA (Phorbol 12myristate 13-acetate) binding sequences, which enable PKCo binding to membranes (Cho, 2001). Although PKCo has a C2-like region, this domain lacks the essential Ca<sup>2+</sup> coordinating acidic residues that allow classical PKCs to bind Ca<sup>2+</sup> (Pappa et al, 1998). C3 and C4 domains are needed for ATP/substrate binding and thus for the catalytic activity of the enzyme.

A pseudo substrate between C1 and C2 motifs retains PKC $\delta$  in an inactive conformation, thus blocking access to the substrate-binding pocket. When PKC $\delta$  is activated by proteolytic cleavage, a 40kDa fragment is generated which can translocate to mitochondria and/or nucleus (Cho, 2001; Hurley & Misra, 2000; Steinberg, 2004). Three specific sites need to be phosphorylated so that the kinase can be fully active: auto-phosphorylation at Ser643 (turn motif), Thr505 (activation loop of the kinase domain) and Ser662 (hydrophobic region)(Steinberg, 2004). Moreover, PKC $\delta$  can be phosphorylated by tyrosine kinases at eight Tyr residues. Tyr155 phosphorylation seems to be important for the inhibitory effect of PKC $\delta$  on cell proliferation (Sun et al, 2000; Szallasi et al, 1995). Tyrosine phosphorylation at the hinge and activation regions results in PKC $\delta$  activation and differential subcellular distribution onto membranes (Blake et al, 1999; Konishi et al, 1997). Conversely, phosphorylation of Tyr155 and Tyr187 promote the anti-apoptotic effect of

PKCδ resulting in an increase in cell proliferation in response to PMA (Kronfeld et al, 2000).

# 4.2.2. PKCδ activation

PKCδ is activated downstream of a variety of stimuli including stress response to oxidative stress, DNA damage or ultra violet radiation (Zhao et al, 2012) (Figure 4). It is involved in signaling downstream of the BCR, interferon (IFN) receptors (Deb et al, 2003; Uddin et al, 2002) the insulin receptor (Braiman et al, 1999) and many others. PKCδ can be phosphorylated by various kinases leading to different phosphorylation patterns and possibly resulting in differential activation of downstream targets. After DAG binding, Ser/Thr phosphorylation by PDK1 and other upstream kinases including PKC $\zeta$  and mTOR (mammalian target of rapamycin), but also auto-phosphorylation (Durgan et al, 2007) leads to full kinase activation. Tyr residues of PKC $\delta$  are phosphorylated by Src kinase family members (SRC, LYN, FYN, LCK), PYK2 or growth factor receptors, fine-tuning kinase activity and downstream effects (Kikkawa et al, 2002) (Basu & Pal, 2010).

Although PKC $\delta$  is a known regulator of pleiotropic functions, the true effect of absence of PKC $\delta$  in humans was only uncovered when we identified biallelic mutations in *PRKCD* as the molecular cause of a novel primary immunodeficiency disorder with severe, SLE-like systemic autoimmunity (Salzer et al, 2013).



Figure 4 PKC $\delta$  related signaling pathways as described in the text. Tyrosine kinases and tyrosine phosphorylation sites are depicted in green; serine/threonine kinases and phosphorylation sites in purple.

#### 4.2.3. <u>Human PKCδ deficiency</u>

Since our group initially described PKC $\delta$  deficiency in 2013 (Salzer et al, 2013), four additional patients from 2 unrelated kindreds have been published (Belot et al, 2013; Kuehn et al, 2013). All detected mutations were biallelic and led to loss of function or loss of expression of the corresponding protein product: c.1352+1G>A (splice-site),p.G510S and R614W.

Common features in all five patients include hepatosplenomegaly, lymphoproliferation and positive autoantibodies. Four patients also presented with lymphoproliferative features and/or kidney involvement. All patients developed symptoms before the age of 10 years. Recurrent infections were seen in 2 patients (Kuehn et al, 2013; Salzer et al, 2013). Immune phenotyping of peripheral blood leukocytes revealed mostly normal T cell numbers and function (Miyamoto et al, 2002). Circulating B cell counts were variable and reduced numbers of memory B cells were detected. Immunoglobulin levels varied as only 2 patients presented with elevated IgM levels. In peripheral lymphoid organs of two patients predominant B cell infiltration was observed. Treatment involved the use of corticosteroids and other immunosuppressants such as mycophenolate mofetil or rapamycin.

As specified above, all patients presented with systemic lupus erythematosus (SLE) or SLE-like autoimmunity and 4 out of 5 exhibited lymphoproliferative features and/or kidney involvement.

#### 4.2.4. PKCδ signaling and SLE

Among others, PKC $\delta$  acts downstream of BTK and PLC $\gamma$ 2 (Guo et al, 2004). Recently, this pathway has been implicated in a novel PID with significant autoimmunity, displaying activating mutations in the *PLC* $\gamma$ 2 gene, described by two independent groups in 2012 (Ombrello et al, 2012; Zhou et al, 2012). Moreover human PKC $\delta$  deficiency resembles the corresponding knock out mouse model, described in 2002 (Miyamoto et al, 2002). These mice showed lymphadenopathy, immune complex glomerulonephritis, splenomegaly and B cell infiltrations in several organs. Experimental studies revealed, as also demonstrated in PKC $\delta$ -deficient patients, increased production of IL-6, possibly leading to B cell hyperproliferation. In this case an interesting therapeutic opportunity would be the use of Tocilizumab an anti-IL-6 antibody already in clinical use for selected rheumathoid arthritis patients (Balsa et al, 2015).

SLE is a complex and to date still poorly understood disease and can be considered as the showcase for a systemic autoimmune mediated disease.

In SLE, tolerance breakdown of both T and B cells can be observed (Wahren-Herlenius & Dorner, 2013). Already for some time it has been hypothesized that apoptosis defects may play an important role in the pathogenesis of SLE (Belot & Cimaz, 2012). In this model T and B cells are resistant to death signals during central or peripheral tolerance induction. Regarding T cells this hypothesis is evidenced by the fact that mutations in genes involved in the Fas pathway lead to ALPS or ALPS-like syndromes in humans (Oliveira et al, 2010). Interestingly, 4 out of 5 PKCo deficient patients were clinically diagnosed with ALPS before the causative gene defect was discovered (Belot et al, 2013; Kuehn et al, 2013). For B cells, given the phenotype of Pkco knock out mice, a potential role for PKCo in the pathogenesis of SLE was hypothesized. Given the multiple activities of PKCo, it is not surprising that an altered PKC<sub>0</sub> function contributes probably through several mechanisms to the immune dysregulation in SLE, although currently only few of them are sufficiently defined. Taken together the discovery of PKCo deficient patients shed light on crucial functions of this kinase and define PKC $\delta$  as a critical regulator of immune homeostasis in man.

# 4.3. Early-onset inflammatory bowel disease and common variable immunodeficiency-like disease caused by IL-21 deficiency

#### 4.3.1. Discovery and biology of the IL-21/IL-21 receptor system

The IL-21 receptor (IL-21R) was discovered by 2 groups in the year 2000 (Ozaki et al, 2000; Parrish-Novak et al, 2000), whereby they additionally identified the highly conserved WSXWS motive in the extracellular domain typically seen in type I cytokine receptors. The IL-21 cytokine, on the other hand, is composed of four a-helices (A-D), arranged in up-up-down-down constellation (Hamming et al, 2012). Due to the recent report of the crystal structure of the IL-21/IL-21R complex by Hamming et al. it is now known that the WSXWS motif as well as glycosylation of the IL-21R are critical for receptor binding and the interaction between IL-21 and the IL-21 receptor (IL-21R) takes place between amino-acids of helices A, C and a small part of the CD loop of IL-21 (Hamming et al, 2012). The IL-21R forms a heterodimeric complex with the common-y chain, which is shared by IL-2, IL-4, IL-7, IL-9 and IL-15 (Rochman et al, 2009). Although the majority of binding energy is provided by the IL-21R, the interaction with the common-y chain has been shown to be indispensable for signal transduction (Asao et al, 2001), evidenced by the fact that IL-21 mutants which cannot interact with the common- $\gamma$  chain are agonists of IL-21 signaling (Kang et al, 2010).

IL-21 signals vial the Janus kinase (JAK)-signal transducer and activator of transcription (STAT) pathway (Rochman et al, 2009). IL-21 predominately activates STAT3 and 1, which distinguishes it from other cytokines of the common-γ chain-family but can phosphorylate STAT5A and B as well. Upon phosphorylation STATs dimerize and enter the nucleus to promote transcription of various target genes. Target genes of the phosphorylated STATs include, *Gzma, Gzmb, II10, Socs1 and 3, Ifng, Cyclins a/b/e, Cxcr3 and 6, Bcl3, Jak3, Bim, Bcl6, Maf, Prdm1, Rorgt, Eomes, II21*, and *II21R* (Kotlarz et al, 2014). Thus IL-21 is critically involved in cell survival, cell-cycle regulation, cell migration, cellular activation, cytotoxicity and regulating its own expression (Kotlarz et al, 2014). Apart from the JAK-STAT pathway, IL-21 can also mediate activation of mitogen activated protein (MAP) kinase pathways

or the Phosphoinositide (PI)-3 kinase pathway (Spolski & Leonard, 2014) via activation of JAK2 and 3.

The IL-21R is expressed on all hematopoietic cells, including epithelial cells, fibroblasts and keratinocytes. IL-21 is predominately produced by activated CD4 T cells (Kotlarz et al, 2014; Leonard & Spolski, 2005). Consistently, IL-21 exerts pleiotropic functions on almost all cells of the immune system (Spolski & Leonard, 2014). IL-21 is essential in the development of T<sub>FH</sub> cells (Bauquet et al, 2009), T<sub>H17</sub> cell differentiation (Linterman et al, 2010) and germinal center formation (Ozaki et al, 2004). Moreover, it is crucial for immunoglobulin class-switch and plasma cell differentiation of B cells (Ettinger et al, 2005). IL-21 is important for function and survival of CD8 T cells (Silver & Hunter, 2008) and has been shown to modulate NKT cell function (Coquet et al, 2007). Interestingly, IL-21 has been shown to be involved in the suppression of regulatory T cells by FOXP3 suppression (Li & Yee, 2008). More recently it became clear that IL-21 also plays important roles in the myeloid compartment by acting on macrophages (Vallieres & Girard, 2013), B-helper neutrophils (Puga et al, 2012) and dendritic cells (Wan et al, 2013).

#### 4.3.2. Human IL-21R and IL-21 deficiency

In 2013, Kotlarz et al. (Kotlarz et al, 2014; Kotlarz et al, 2013) identified human IL-21R deficiency in two unrelated families with 4 affected individuals in total. The identified mutations in the *IL21R* gene were a missense mutation (c.G602T, p.Arg2201Leu) in patients 1 and 2 and a 6 base pair deletion (c.240\_245delCTGCCA, p.Cys81\_His82del) in patients 3 and 4.

Interestingly, all patients presented with severe liver disease due to chronic cholangitis caused by cryptosporidium infection (Kotlarz et al, 2014; Kotlarz et al, 2013). Two out of four patients were initially diagnosed with idiopathic liver fibrosis and listed for liver transplantation. Only in retrospect it became clear that these patients also suffered from a combined immunodeficiency mirrored by recurrent respiratory and gastrointestinal infections. Immunophenotyping of peripheral blood lymphocytes of these four patients showed normal T, B, and NK cell numbers (Kotlarz et al, 2014; Kotlarz et al, 2013). Detailed evaluation of B cells, however, revealed an increase of naïve B cells and a reduction of

memory-switched B cells in three patients. All four patients also showed increased levels of IgE (Kotlarz et al, 2014; Kotlarz et al, 2013).

Experimental studies showed defective IL-21-induced STAT activation leading to defective IL-21 responses in lymphoid cells. Moreover, these patients also exhibited defective T cell proliferation towards specific antigens (Kotlarz et al, 2014; Kotlarz et al, 2013).

In a recent review by Kotlarz et al. (Kotlarz et al, 2014), three additional, to date still unpublished IL-21R deficient patients are mentioned. One patient presented with pulmonary tuberculosis, hepatosplenomegaly, chronic inflammatory skin disease and invasive fungal and viral infections as well as increased IgM levels and reduced class-switched CD19 cells. This patient died due to post HSCT complications. The detected mutation was a splice site conferring c.153-1G>T (Kotlarz et al, 2014). Another patient showed recurrent upper and lower respiratory tract infections and additionally presented with a B cell class switch defect. The patient was positive for *Pneumocystis jirovecii,* indicating a T cell deficiency. However, he did not show any cryptosporidium infection. This patient was transplanted at the age of 8 years. The detected mutation was a missense mutation (c.G602A, p.Arg201Gln). For the third patient no clinical description is available (Kotlarz et al, 2014).

In summary, the to date described IL-21R-deficient patients exhibit a T cell defect with reduced proliferation to specific antigens such as tetanus toxoid, but also a B cell defect, which is characterized by defective class switch and proliferation.

The up to now only case of IL-21 deficiency, described in the results section of this thesis on the other presented with early-onset inflammatory bowel disease and a CVID-like primary immunodeficiency. This patient also exhibited high IgE levels and a defective class switch in B cells, which was reversible *in vitro* after stimulation with wild type IL-21 (Salzer et al, 2014).

#### 4.3.3. Concluding remarks

Interestingly, in contrast to other common γ-chain immunodeficiencies, IL21 or IL21R receptor deficiencies do not seem to be associated with a SCID phenotype. Still, all patients display a clear immunodeficiency with an increased susceptibility to infections and a higher mortality during childhood.

Whereas IL-21R deficiency can be associated with severe liver disease due to cryptosporidium infection, the IL-21-deficient patient presented with inflammatory bowel disease but no cryptosporidium could be detected to date. Regarding the critical role of IL-21 in the modulation of immune homeostasis, early diagnosis and treatment is important and can be lifesaving.

In the case of IL-21R deficiency HSCT before cryptosporidium infection is crucial, as all patients transplanted with positive cryptosporidium suffered from severe transplant-related morbidities or died shortly after transplantation. For patients with IL-21 deficiency recombinant IL-21 represents an elegant alternative option, especially when the patient is not eligible for aHSCT.

### 4.1. Contribution to the field of primary immunodeficiencies

Primary immunodeficiencies are complex and diverse diseases. Although the incidence and prevalence of severe forms is not high, the identification of underlying genetic causes teaches us about non-redundant molecular mechanism of immune defense and host-pathogen interactions (Ochs & Hitzig, 2012).

Before the advancement of sequencing technologies, PIDs were only recognized in severe forms or as parts of characteristic syndromes (Milner & Holland, 2013). In the past years, the development of high throughput sequencing technologies enabled the discovery of PIDs with specific or subtle phenotypes, which often manifest during the first decade of life or during adolescence. Interestingly, many recently discovered PIDs do not have a corresponding animal model, reflecting the fact that the immune system is shaped in a constant intricate interplay with pathogens and environmental factors.

Here, we employed high-throughput sequencing technologies in combination with homozygosity mapping in a group of patients presenting with immunodeficiency, autoimmunity and / or lymphoproliferation and defective class-switch. We were able to identify two novel PIDs and increase the spectrum of patients with CD27 deficiency, for which before only a single family was published. Moreover, in the case of PKC $\delta$  and IL-21 deficiency we went on to discover the underlying mechanisms to explain the clinical picture of the disease.

We believe, that our work of the past four years has not only provided a molecular diagnosis for in total 10 patients, suffering from a previously unknown disease but has also helped to understand basic mechanisms of the immune system. Moreover, with the discovery of the underlying defect, therapy options have come into place, which had not been considered before, especially in the cases of PRKCD and IL-21 deficiency. We hope, that follow-up studies will help to better characterize these diseases and to identify the best possible treatment option for these patients.

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## 6. Curriculum vitae

#### ELISABETH SALZER

#### E-mail: esalzer@cemm.oeaw.ac.at

# SCHOOL AND UNIVERSITY EDUCATION

#### Postgraduate education

PhD Program N094 at the Medical University of Vienna	10/2010- present
Ce-M-M- PhD Program	

Medical school

Medical University of Vienna

Programme of Medicine (with distinction) Completed 02.07. 2010 (MD)

### High School

Austrian (Vienna) high school qualifying for university admission 06/2004 (with distinction)

### INTERNATIONAL EXPERIENCE

Melbourne, Australia – Clinical elective	
Pediatric emergency medicine at Monash Medical Centre	07/2009
Lausanne, Switzerland- Erasmus scholarship	
Completion of all clinical rotations of the 5 <sup>th</sup> year at CHUV,	
University of Lausanne	2008/2009
Boston, USA- Observership	
Pediatric cardiology, Children's Hospital Boston	07/2008
Berlin, Germany- Clinical elective	
Pediatric hematology/oncology, Charité, Berlin	07/2007

10/2004-07/2010

RESEARCH

Research Center for Molecular Medicine (Ce-M-M-)	10/2010- present
Research group: Kaan Boztug	
Anna Spiegel Center for Translational Research	10/2007- 07/2010
Pediatric Nephrology Research group	
Research group: Christoph Aufricht	
Diploma Thesis	
Inflammation and Cytoprotection during in vitro Peritoneal	completed 05/10
Dialysis	
Completed with distinction	

#### PUBLICATIONS

Erman B, Bilic I, Hirschmugl T, **Salzer E**, Çağdaş DA, Esenboga S, Akcoren Z, Sanal O, Tezcan I, Boztug K. **Combined immunodeficiency with CD4 lymphopenia and sclerosing cholangitis caused by a novel loss-of-function mutation affecting IL21R.** 

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Woutsas S, Aytekin C, Salzer E, Conde CD, Apaydin S, Pichler H, Memaran-Dadgar N, Hosnut FO, Förster-Waldl E, Matthes S, Huber WD, Lion T, Holter W, Bilic I, Boztug K. Hypomorphic mutation in TTC7A causes combined immunodeficiency with mild structural intestinal defects.
Blood. 2015 Mar 5

Willmann KL, Klaver S, Doğu F, Santos-Valente E, Garncarz W, Bilic I, Mace E, **Salzer E**, Conde CD, Sic H, Májek P, Banerjee PP, Vladimer GI, Haskoloğlu S, Bolkent MG, Küpesiz A, Condino-Neto A, Colinge J, Superti-Furga G, Pickl WF, van Zelm MC, Eibel H, Orange JS, Ikincioğulları A, Boztuğ K. **Biallelic loss-of-function mutation in NIK causes a primary immunodeficiency with multifaceted aberrant lymphoid immunity**.

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Boztug K, Järvinen P, **Salzer E**, Racek T, Mönch S, Garncarz W, Gertz ME, Schäffer AE, Antonopoulos A, Haslam SM, Ziesenitz L, Puchałka J, Diestelhorst D, Appaswamy G, Lescoeur B, Giambruno R, Bigenzahn JW, Elling U, Pfeifer D, Domínguez Conde C, Albert M, Welte K, Brandes G, Sherkat R, van der Werff ten Bosch J, Rezaei N, Etzioni A, Bellanné-Chantelot C, Superti-Furga G, Penninger JM, Bennett KL, von Blume J, Dell A, Donadieu J, Klein C **JAGN1 deficiency causes aberrant myeloid cell homeostasis and congenital neutropenia** Nat Genet. 2014 Sep

Salzer E, Kansu A, Sic H, Májek P, Ikincioğullari A, Dogu FE, Prengemann NK, Santos-Valente E, Pickl WF, Bilic I, Ban SA, Kuloğlu Z, Demir AM, Ensari A, Colinge J, Rizzi M, Eibel H, Boztug K. Early-onset inflammatory bowel disease and common variable immunodeficiency-like disease caused by loss-of-function mutation in *IL21* 

J Allergy Clin Immunol. 2014 Apr 17

**Salzer E\***, Santos-Valente E\*, Klaver S, Ban SA, Emminger W, Prengemann NK, Garncarz W, Müllauer L, Kain R, Boztug H, Heitger A, Arbeiter K, Eitelberger F, Seidel MG, Holter W, Pollak A, Pickl WF, Förster-Waldl E#, Boztug K#.**B-cell deficiency and severe autoimmunity caused by deficiency of protein kinase** Cδ.

Blood. 2013 Apr 18 (\* and # equal contribution)

**Salzer E\***, Daschkey S\*, Choo S, Gombert M, Santos-Valente E, Ginzel S, Schwendinger M, Haas OA, Fritsch G, Pickl WF, Förster-Waldl E, Borkhardt A#, Boztug K#, Bienemann K, Seidel MG#. **Combined immunodeficiency with life-threatening EBV-associated lymphoproliferative disorder in patients lacking functional CD27.** 

Haematologica. 2013 Mar 13 (\* and # equal contribution)

Kratochwill K, Boehm M, Herzog R, Lichtenauer AM, **Salzer E**, Lechner M, Kuster L, Bergmeister K, Rizzi A, Mayer B, Aufricht C.

Alanyl-glutamine dipeptide restores the cytoprotective stress proteome of mesothelial cells exposed to peritoneal dialysis fluid;

Nephrol Dial Transplant. 2012 Mar 27

Riesenhuber A., Vargha R., Kratochwill K., Kasper D.C., **Salzer E**.; Aufricht C. **Peritoneal Dialysis Fluid induces p38 dependent Inflammation in Human mesothelial cells**;

Peritoneal Dialysis International. 2011 May 31

# ADDITIONAL CLASSES AND SEMINARS

# <u>Seminars</u>

Summer School of the Clinical Immunology Society (CIS)	09/2014
Miami Beach, Florida	
Bedside Teaching Seminar in Pediatrics, Cologne, Germany	04/2010
Symptoms, Signs and Interpretation in Pediatrics	
John B. Watkins/Clifford Lo (CHB, Harvard Medical School, Boston)	
Bedside Teaching Seminar in Internal Medicine, Innsbruck, Austria	01/2009
Patient based Review of Internal Medicine-	

Exercise in Art and Science of Clinical diagnosis

Steven Mackey (Columbia University, New York)

# AWARDS AND SCHOLARSHIPS

Austrian Society of Pediatric and Adolescent Medicine 09/201	3
Best Poster Presentation	
Young Scientists Association of the Medical University of Vienna 06/201	3
Best Poster Presentation	
Austrian Society of Allergy and Immunology 11/201	2
Best Poster Presentation	
Young investigator award for diploma thesis of the Austrian 10/201	0
Society of pediatric and adolescent medicine	
National award for school leaving exam thesis in humanities 06/200	)4
Scholarships	
Excellence Scholarship of the Medical University of Vienna 2009/2	2010
2005-2	2008
Erasmus Scholarship 2008/2	2009
Research scholarship of the Medical University of Vienna 2007-2	2010

MUSIC EDUCATION

Violin studies

## Diploma in Violin

Qualifying for education in violin studies

# LANGUAGES

German (mother tongue), English, French

HOBBIES

Music, playing the violin, rock climbing, skiing, running

05/2010